Department of the Treasury Internal Revenue Service

Return of Organization Exempt From Income Tax

Under section 501(c), 527, or 4947(a)(1) of the Internal Revenue Code (except black lung benefit trust or private foundation)

▶ The organization may have to use a copy of this return to satisfy state reporting requirements.

OMB No. 1545-0047 2011

Open to Public Inspection

| Α | For the 2 | 011 calendar year, or tax year beginning , and ending | | - | |
|-------------------|----------------------------|--|------------------------|---------------------|---|
| В | Check if applic | able: C Name of organization | | D Employ | er identification number |
| | Address chang | e CENTER FOR BIOLOGICAL DIVERSITY | INC | | |
| $\overline{\Box}$ | Name change | Doing Business As | | 85- | 0420285 |
| | • | Number and street (or P.O. box if mail is not delivered to street address) | Room/suite | E Telepho | one number |
| Н | Initial return | PO BOX 710 | | 520 | -623-5252 |
| | Terminated | City or town, state or country, and ZIP + 4 | | | |
| | Amended retu | | | G Gross rece | ipts 13,309,642 |
| \Box | Application pe | F Name and address of principal officer: | | | ~ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ |
| ш | ripplication pc | KIERAN SUCKLING | H(a) Is this a g | group return for | affiliates? Yes X No |
| | | PO BOX 710 | H(b) Are all at | ffiliates include | d? Yes No |
| | | TUCSON AZ 85702 | If "No | o," attach a list | (see instructions) |
| ı | Tax-exempt | tatus: X 501(c)(3) 501(c) () ◀ (insert no.) 4947(a)(1) or 527 | | | |
| J | Website: | WWW.BIOLOGICALDIVERSITY.ORG | H(c) Group ex | xemption numb | er 🕨 |
| K | Form of organ | ization: X Corporation Trust Association Other ▶ | L Year of formation: 1 | 993 | M State of legal domicile: NM |
| F | Part I | Summary | | | |
| | 1 Brie | fly describe the organization's mission or most significant activities: | | | |
| ၁င | S | EE SCHEDULE O | | | |
| nar | | | | | |
| Governance | | | | | |
| Ĝ | 2 Che | ck this box $lacktriangle$ if the organization discontinued its operations or disposed of more | e than 25% of its net | t assets. | |
| | | ber of voting members of the governing body (Part VI, line 1a) | | 3 | 5 |
| ies | 4 Nun | ber of independent voting members of the governing body (Part VI, line 1b) | | 4 | 3 |
| Activities & | | ıl number of individuals employed in calendar year 2011 (Part V, line 2a) $_{\dots \dots \dots }$ | | | 83 |
| Aci | | Il number of volunteers (estimate if necessary) | | 6 | 20 |
| | 7a Tota | l unrelated business revenue from Part VIII, column (C), line 12 | | 7a | 0 |
| | b Net | unrelated business taxable income from Form 990-T, line 34 | | 7b | 0 |
| | 0 000 | tributions and grants (Dort VIII line 4b) | Prior Ye | | Current Year |
| Revenue | O Con | tributions and grants (Part VIII, line 1h) | 7,223 | 9,803 3,613 | 6,617,375 549,142 |
| Ver | 9 Prog | gram service revenue (Part VIII, Inne 2g) | 74. | 4,104 | 14,280 |
| Re | 10 111VE | stment income (Part VIII, column (A), lines 3, 4, and 7d) er revenue (Part VIII, column (A), lines 5, 6d, 8c, 9c, 10c, and 11e) | | 1,739 | 675 |
| | | | | 9,259 | 7,181,472 |
| _ | | Il revenue – add lines 8 through 11 (must equal Part VIII, column (A), line 12) hts and similar amounts paid (Part IX, column (A), lines 1–3) | | 3,250 | 381,975 |
| | 13 Gia | efits paid to or for members (Part IX, column (A), line 4) | | 0 | <u> </u> |
| " | | ries, other compensation, employee benefits (Part IX, column (A), lines 5–10) | 2 77 | 3,848 | 4,232,420 |
| Se | 16 Drof | ossional fundraising foos (Part IX, column (A), line 11a) | 120 | 0,244 | 97,254 |
| benses | h Tota | essional fundraising fees (Part IX, column (A), line 11e) Il fundraising expenses (Part IX, column (D), line 25) ▶ 494, 917 | | J, 411 | 21,231 |
| Ä | | er expenses (Part IX, column (A), lines 11a–11d, 11f–24e) | | 5,758 | 1,574,690 |
| | | ll expenses. Add lines 13–17 (must equal Part IX, column (A), line 25) | | 4,100 | 6,286,339 |
| | 19 Rev | enue less expenses. Subtract line 18 from line 12 | | 5,159 | 895,133 |
| JO. | 13 Rev | cride 1633 experises. Oubtract file 10 from file 12 | Beginning of Cu | | End of Year |
| sets | 20 Tota | ll assets (Part X, line 16) | 0.00 | 9,225 | 10,979,900 |
| ASS | 21 Tota | I liabilities (Part X, line 26) | 1.0 | 0,286 | 245,828 |
| Net Assets or | 22 Net | assets or fund balances. Subtract line 21 from line 20 | 9,838 | 3,939 | 10,734,072 |
| | Part II | Signature Block | | | |
| | | es of perjury, I declare that I have examined this return, including accompanying schedules a | | | my knowledge and belief, it |
| tr | rue, correct, | and complete. Declaration of preparer (other than officer) is based on all information of which | n preparer has any kno | wledge. | |
| | | - | | | |
| Si | | Signature of officer | | Date | |
| He | ere | | <u>ECUTIVE DI</u> | RECTO | ? |
| | | Type or print name and title | Τ- : | 1 | DTIN |
| Pai | id | nt/Type preparer's name Preparer's signature | Date | Check | if PTIN |
| | naror | RIS WM. LUDWIG, CPA | | self-em | |
| | e Only | m's name LUDWIG KLEWER & CO. PLLC | F | Firm's EIN | 36-4538293 |
| Ja | - | 4783 E CAMP LOWELL DR | | | E20 E4E 0E00 |
| N/a | | m's address TUCSON, AZ 85712 discuss this return with the preparer shown above? (see instructions) | | Phone no. | 520-545-0500 |
| ivid | ıy ııı c ır∖o (| nocass and retain wan the preparer shown above: (see instructions) | | | X Yes No |

| Part III Statement of Program Service Accomplishments Check if Schedule O contains a response to any question in this Part III | X |
|--|---|
| 1 Briefly describe the organization's mission: | |
| SEE SCHEDULE O | |
| • | |
| • | |
| 2 Did the organization undertake any significant program services during the year which were not listed on the | |
| prior Form 990 or 990-EZ? | Yes X No |
| If "Yes," describe these new services on Schedule O. | |
| 3 Did the organization cease conducting, or make significant changes in how it conducts, any program | |
| services? | Yes X No |
| If "Yes," describe these changes on Schedule O. | |
| 4 Describe the organization's program service accomplishments for each of its three largest program services, as measured by | |
| expenses. Section 501(c)(3) and 501(c)(4) organizations and section 4947(a)(1) trusts are required to report the amount of grants and allocations to others, the total expenses, and revenue, if any, for each program service reported. | |
| grants and allocations to others, the total expenses, and revenue, if any, for each program service reported. | |
| 4a (Code:)(Expenses\$ 1,805,817 including grants of\$ 379,725)(Revenue \$ THE CENTER'S PUBLIC LANDS PROGRAM AIMS TO ENSURE THAT OUR PUBLIC WATERS ARE PROTECTED, RESTORED AND MANAGED FOR THE MAXIMUM BENINATION'S WILDLIFE AND ECOSYSTEMS. | EFIT TO OUR |
| | |
| | |
| | |
| | |
| • | |
| • | |
| | |
| 4. (0.1 | |
| 4b (Code:) (Expenses \$ 927,624 including grants of \$) (Revenue \$ THE CENTER'S CLIMATE PROGRAM WORKS TO REDUCE U.S. GREENHOUSE GAND AIR POLLUTION TO PROTECT BIOLOGICAL DIVERSITY, THE ENVIROND HUMAN HEALTH. SPECIFIC OBJECTIVES INCLUDE SECURING PROTECTIONS THREATENED BY THE IMPACTS OF GLOBAL WARMING, ENSURING COMPLIANT APPLICABLE LAW IN ORDER TO REDUCE GREENHOUSE GAS EMISSIONS, AND MOBILIZING THE PUBLIC ON GLOBAL WARMING ISSUES. | MENT AND FOR SPECIES CE WITH D EDUCATING |
| 4c (Code:)(Expenses\$ 1,441,842 including grants of\$ 2,000) (Revenue \$ THE CENTER'S ENDANGERED SPECIES PROGRAM WORKS TO PROTECT AND RI IMPERILED PLANTS AND ANIMALS AND THEIR HABITAT. THIS IS ACCOMPTHROUGH A VARIETY OF TOOLS INCLUDING SCIENTIFIC RESEARCH, POLICADVOCACY, AND GRASSROOTS ORGANIZING. | |
| 4d Other program services. (Describe in Schedule O.) | |
| (Expenses \$ 1,236,658 including grants of\$) (Revenue \$ |) |
| 4e Total program service expenses ► 5, 411, 941 | |

| | | | Yes | No |
|-----|---|------|-----|--|
| 1 | Is the organization described in section 501(c)(3) or 4947(a)(1) (other than a private foundation)? If "Yes," | | | 1 |
| | complete Schedule A | 1 | Χ | <u> </u> |
| 2 | Is the organization required to complete Schedule B, Schedule of Contributors (see instructions)? | 2 | Х | <u> </u> |
| 3 | Did the organization engage in direct or indirect political campaign activities on behalf of or in opposition to | | | l |
| | candidates for public office? If "Yes," complete Schedule C, Part I | 3 | | X |
| 4 | Section 501(c)(3) organizations. Did the organization engage in lobbying activities, or have a section 501(h) | | | l |
| | election in effect during the tax year? If "Yes," complete Schedule C, Part II | 4 | Χ | <u> </u> |
| 5 | Is the organization a section 501(c)(4), 501(c)(5), or 501(c)(6) organization that receives membership dues, | | | l |
| | assessments, or similar amounts as defined in Revenue Procedure 98-19? If "Yes," complete Schedule C, | | | |
| | Part III | 5 | | Х |
| 6 | Did the organization maintain any donor advised funds or any similar funds or accounts for which donors | | | l |
| | have the right to provide advice on the distribution or investment of amounts in such funds or accounts? If | | | |
| | "Yes," complete Schedule D, Part I | 6 | | X |
| 7 | Did the organization receive or hold a conservation easement, including easements to preserve open space, | | | |
| | the environment, historic land areas, or historic structures? If "Yes," complete Schedule D, Part II | 7 | | X |
| 8 | Did the organization maintain collections of works of art, historical treasures, or other similar assets? If "Yes," | | | |
| | complete Schedule D, Part III | 8 | | X |
| 9 | Did the organization report an amount in Part X, line 21; serve as a custodian for amounts not listed in Part | | | l |
| | X; or provide credit counseling, debt management, credit repair, or debt negotiation services? If "Yes," | | | |
| | complete Schedule D, Part IV | 9 | | Х |
| 10 | Did the organization, directly or through a related organization, hold assets in temporarily restricted | | | |
| | endowments, permanent endowments, or quasi-endowments? If "Yes," complete Schedule D, Part V | 10 | | Х |
| 11 | If the organization's answer to any of the following questions is "Yes," then complete Schedule D, Parts VI, | | | |
| | VII, VIII, IX, or X as applicable. | | | |
| а | Did the organization report an amount for land, buildings, and equipment in Part X, line 10? If "Yes," | | 3.7 | |
| | complete Schedule D, Part VI | 11a | Χ | |
| b | Did the organization report an amount for investments—other securities in Part X, line 12 that is 5% or more | 445 | | 37 |
| _ | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VI | 11b | | Х |
| С | Did the organization report an amount for investments—program related in Part X, line 13 that is 5% or more | 44. | | v |
| اہ | of its total assets reported in Part X, line 16? If "Yes," complete Schedule D, Part VIII | 11c | | Х |
| d | • | 444 | | v |
| _ | reported in Part X, line 16? If "Yes," complete Schedule D, Part IX | 11d | | X |
| | Did the organization report an amount for other liabilities in Part X, line 25? If "Yes," complete Schedule D, Part X | 11e | | $^{\Lambda}$ |
| f | Did the organization's separate or consolidated financial statements for the tax year include a footnote that addresses | 11f | v | |
| 120 | the organization's liability for uncertain tax positions under FIN 48 (ASC 740)? If "Yes," complete Schedule D, Part X Did the organization obtain separate, independent audited financial statements for the tax year? If "Yes," complete | 111 | X | |
| ıza | | 12a | Х | l |
| h | Schedule D, Parts XI, XII, and XIII | ıza | Λ | |
| b | the appropriation appropriate line 400, then appropriate a Cabadula D. Danta VI. VII. and VIII. in a time I | 12b | | Х |
| 13 | le the experience exhault described in section 170/b)(1)(A)(ii)(2) If "Vee." complete Schodule E | 13 | | X |
| 14a | Did the organization maintain an office, employees, or agents outside of the United States? | 14a | | X |
| b | Did the organization maintain an office, employees, or agents outside or the officed states: Did the organization have aggregate revenues or expenses of more than \$10,000 from grantmaking, | 174 | | |
| J | fundraising, business, investment, and program service activities outside the United States, or aggregate | | | ł |
| | foreign investments valued at \$100,000 or more? If "Yes," complete Schedule F, Parts I and IV | 14b | | Х |
| 15 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of grants or assistance to any | . 70 | | |
| | organization or entity located outside the United States? If "Yes," complete Schedule F, Parts II and IV | 15 | | Х |
| 16 | Did the organization report on Part IX, column (A), line 3, more than \$5,000 of aggregate grants or assistance | | | 7. |
| . • | to individuals located outside the United States 2 If "Voe " complete Schodule E. Barte III and IV | 16 | | Х |
| 17 | Did the organization report a total of more than \$15,000 of expenses for professional fundraising services on | | | |
| •• | Part IV column (A) lines 6 and 44.62 If "Vos." complete Schodule C. Part I (ose instructions) | 17 | Χ | ł |
| 18 | Did the organization report more than \$15,000 total of fundraising event gross income and contributions on | | | |
| . • | Part VIII. lines 1e and 9e2 If "Ves." complete Schedule C. Part II. | 18 | | Х |
| 19 | Did the organization report more than \$15,000 of gross income from gaming activities on Part VIII, line 9a? | | | |
| . • | KINY III LA OLI LA O.D. AIII | 19 | | Х |
| 20a | Did the organization operate one or more hospital facilities? If "Yes," complete Schedule H | 20a | | X |
| | If "Yes" to line 20a, did the organization attach a copy of its audited financial statements to this return? | 20b | | |
| | | | | |

| | | | Yes | No |
|-----|---|-----|-----|-----|
| 21 | Did the organization report more than \$5,000 of grants and other assistance to any government or organization | | | |
| | in the United States on Part IX, column (A), line 1? If "Yes," complete Schedule I, Parts I and II | 21 | Х | |
| 22 | Did the organization report more than \$5,000 of grants and other assistance to individuals in the United States | | | |
| | on Part IX, column (A), line 2? If "Yes," complete Schedule I, Parts I and III | 22 | | X |
| 23 | Did the organization answer "Yes" to Part VII, Section A, line 3, 4, or 5 about compensation of the | | | |
| | organization's current and former officers, directors, trustees, key employees, and highest compensated | | | |
| | employees? If "Yes," complete Schedule J | 23 | X | |
| 24a | Did the organization have a tax-exempt bond issue with an outstanding principal amount of more than | | | |
| | \$100,000 as of the last day of the year, that was issued after December 31, 2002? If "Yes," answer lines 24b | | | |
| | through 24d and complete Schedule K. If "No," go to line 25 | 24a | | X |
| b | Did the organization invest any proceeds of tax-exempt bonds beyond a temporary period exception? | 24b | | |
| С | Did the organization maintain an escrow account other than a refunding escrow at any time during the year | | | |
| | to defease any tax-exempt bonds? | 24c | | |
| d | Did the organization act as an "on behalf of" issuer for bonds outstanding at any time during the year? | 24d | | |
| 25a | Section 501(c)(3) and 501(c)(4) organizations. Did the organization engage in an excess benefit transaction | | | |
| | with a disqualified person during the year? If "Yes," complete Schedule L, Part I | 25a | | X |
| b | Is the organization aware that it engaged in an excess benefit transaction with a disqualified person in a prior | | | |
| | year, and that the transaction has not been reported on any of the organization's prior Forms 990 or 990-EZ? | | | |
| | If "Yes," complete Schedule L, Part I | 25b | | X |
| 26 | Was a loan to or by a current or former officer, director, trustee, key employee, highly compensated employee, or | | | |
| | disqualified person outstanding as of the end of the organization's tax year? If "Yes," complete Schedule L, Part II | 26 | | Χ |
| 27 | Did the organization provide a grant or other assistance to an officer, director, trustee, key employee, | | | |
| | substantial contributor or employee thereof, a grant selection committee member, or to a 35% controlled | | | |
| | entity or family member of any of these persons? If "Yes," complete Schedule L, Part III | 27 | | X |
| 28 | Was the organization a party to a business transaction with one of the following parties (see Schedule L, | | | |
| | Part IV instructions for applicable filing thresholds, conditions, and exceptions): | | | |
| а | A current or former officer, director, trustee, or key employee? If "Yes," complete Schedule L, Part IV | 28a | X | |
| b | A family member of a current or former officer, director, trustee, or key employee? If "Yes," complete | | | |
| | Schedule L, Part IV | 28b | | X |
| С | An entity of which a current or former officer, director, trustee, or key employee (or a family member thereof) | | | |
| | was an officer, director, trustee, or direct or indirect owner? If "Yes," complete Schedule L, Part IV | 28c | | X |
| 29 | Did the organization receive more than \$25,000 in non-cash contributions? If "Yes," complete Schedule M | 29 | X | |
| 30 | Did the organization receive contributions of art, historical treasures, or other similar assets, or qualified | | | 3.7 |
| | conservation contributions? If "Yes," complete Schedule M | 30 | | X |
| 31 | Did the organization liquidate, terminate, or dissolve and cease operations? If "Yes," complete Schedule N, | | | 3.7 |
| | Part I | 31 | | X |
| 32 | Did the organization sell, exchange, dispose or, or transfer more than 25% of its net assets? If "Yes," | | | 37 |
| | complete Schedule N, Part II | 32 | | X |
| 33 | Did the organization own 100% of an entity disregarded as separate from the organization under Regulations | 20 | | 37 |
| 24 | sections 301.7701-2 and 301.7701-3? If "Yes," complete Schedule R, Part I | 33 | | X |
| 34 | Was the organization related to any tax-exempt or taxable entity? If "Yes," complete Schedule R, Parts II, III, | 24 | v | |
| 25- | IV, and V, line 1 | 34 | Х | v |
| 35a | Did the organization have a controlled entity within the meaning of section 512(b)(13)? | 35a | | X |
| b | Did the organization receive any payment from or engage in any transaction with a controlled entity within the meaning of section 512(b)(13)? If "Yes," complete Schedule R, Part V, line 2 | 254 | | v |
| 20 | | 35b | | X |
| 36 | Section 501(c)(3) organizations. Did the organization make any transfers to an exempt non-charitable | 26 | | v |
| 27 | related organization? If "Yes," complete Schedule R, Part V, line 2 Did the organization conduct more than 5% of its activities through an entity that is not a related organization | 36 | | X |
| 37 | and that is treated as a partnership for federal income tax purposes? If "Yes," complete Schedule R, | | | |
| | Port VI | 37 | | Х |
| 38 | Did the organization complete Schedule O and provide explanations in Schedule O for Part VI, lines 11 and | 31 | | |
| 50 | 19? Note. All Form 990 filers are required to complete Schedule O | 38 | Х | |
| | 10. 110.00 mil 500 more are required to complete conclude C | 100 | | l |

Form 990 (2011) CENTER FOR BIOLOGICAL DIVERSITY IN(85-0420285 Part V Statements Regarding Other IRS Filings and Tax Compliance

| 1a Enter the number reported in Box 3 of Form 1096. Enter -0- if not applicable b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? 1 Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 83 b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 Note. If the sum of lines 1a and 2a is greater than 250, you may be required to e-file (see instructions) | c b | Yes X | No |
|---|--------|-------|----|
| b Enter the number of Forms W-2G included in line 1a. Enter -0- if not applicable c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? 1 Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2 8 If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 2 | b a | X | |
| c Did the organization comply with backup withholding rules for reportable payments to vendors and reportable gaming (gambling) winnings to prize winners? 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 83 b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 | b a | X | |
| reportable gaming (gambling) winnings to prize winners? 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 83 b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 | b a | Х | |
| 2a Enter the number of employees reported on Form W-3, Transmittal of Wage and Tax Statements, filed for the calendar year ending with or within the year covered by this return 2a 83 b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 | b a | X | |
| Statements, filed for the calendar year ending with or within the year covered by this return 2a 83 b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? 2 | а | | |
| b If at least one is reported on line 2a, did the organization file all required federal employment tax returns? | а | | |
| | а | | v |
| Note: If the sum of filles to and zons greater than 200, you may be required to e-file (see instructions) | | | X |
| 3a Did the organization have unrelated business gross income of \$1,000 or more during the year? | | | X |
| b If "Yes," has it filed a Form 990-T for this year? If "No," provide an explanation in Schedule O 3 | | | |
| 4a At any time during the calendar year, did the organization have an interest in, or a signature or other authority | | | |
| over, a financial account in a foreign country (such as a bank account, securities account, or other financial | | | |
| account)? | а | | X |
| b If "Yes," enter the name of the foreign country: ▶ | | | |
| See instructions for filing requirements for Form TD F 90-22.1, Report of Foreign Bank and Financial Accounts. | | | |
| 5a Was the organization a party to a prohibited tax shelter transaction at any time during the tax year? | а | | Χ |
| b Did any taxable party notify the organization that it was or is a party to a prohibited tax shelter transaction? | b | | Χ |
| c If "Yes" to line 5a or 5b, did the organization file Form 8886-T? | С | | |
| 6a Does the organization have annual gross receipts that are normally greater than \$100,000, and did the | | | |
| organization solicit any contributions that were not tax deductible? | а | X | |
| b If "Yes," did the organization include with every solicitation an express statement that such contributions or | . | 3.7 | |
| gifts were not tax deductible? 6 | b | X | |
| 7 Organizations that may receive deductible contributions under section 170(c). a Did the organization receive a payment in excess of \$75 made partly as a contribution and partly for goods | | | |
| and conjugat provided to the payor? | 2 | X | |
| b If "Yes," did the organization notify the donor of the value of the goods or services provided? 7 | | X | |
| c Did the organization sell, exchange, or otherwise dispose of tangible personal property for which it was | | | |
| required to file Form 8282? | c | | Χ |
| d If "Yes," indicate the number of Forms 8282 filed during the year 7d | | | |
| e Did the organization receive any funds, directly or indirectly, to pay premiums on a personal benefit contract? | е | | Χ |
| f Did the organization, during the year, pay premiums, directly or indirectly, on a personal benefit contract? | f | | Χ |
| g If the organization received a contribution of qualified intellectual property, did the organization file Form 8899 as required? | g | | X |
| h If the organization received a contribution of cars, boats, airplanes, or other vehicles, did the organization file a Form 1098-C? | h | | X |
| 8 Sponsoring organizations maintaining donor advised funds and section 509(a)(3) supporting | | | |
| organizations. Did the supporting organization, or a donor advised fund maintained by a sponsoring | | | |
| organization, have excess business holdings at any time during the year? 9 Sponsoring organizations maintaining donor advised funds. |) | | |
| Did the expenientian make any tayable distributions under section 40000 | 2 | | |
| b Did the organization make any taxable distributions under section 4966? b Did the organization make a distribution to a donor, donor advisor, or related person? 9 | _ | | |
| 10 Section 501(c)(7) organizations. Enter: | | | |
| a Initiation fees and capital contributions included on Part VIII, line 12 | | | |
| b Gross receipts, included on Form 990, Part VIII, line 12, for public use of club facilities 10b | | | |
| 11 Section 501(c)(12) organizations. Enter: | | | |
| a Gross income from members or shareholders 11a | | | |
| b Gross income from other sources (Do not net amounts due or paid to other sources | | | |
| against amounts due or received from them.) | | | |
| 12a Section 4947(a)(1) non-exempt charitable trusts. Is the organization filing Form 990 in lieu of Form 1041? | 2a | | |
| b If "Yes," enter the amount of tax-exempt interest received or accrued during the year | | | |
| 13 Section 501(c)(29) qualified nonprofit health insurance issuers. | | | |
| a Is the organization licensed to issue qualified health plans in more than one state? Note: See the instructions for additional information the organization must report an Schodulo O | oa | | |
| Note. See the instructions for additional information the organization must report on Schedule O. b Enter the amount of reserves the organization is required to maintain by the states in which | | | |
| b Enter the amount of reserves the organization is required to maintain by the states in which the organization is licensed to issue qualified health plans | | | |
| 5. Enter the encount of recomes on hand | | | |
| 1/12 Did the organization receive any payments for indoor tanning services during the tay year? | la | | X |
| b If "Yes," has it filed a Form 720 to report these payments? If "No," provide an explanation in Schedule O | _ | | |

Governance, Management, and Disclosure For each "Yes" response to lines 2 through 7b below, and for a "No" response to line 8a, 8b, or 10b below, describe the circumstances, processes, or changes in Schedule

| | O. See instructions. Check if Schedule O contains a response to any question i | n this | Part VI | | | X |
|-----|--|----------|---------------|-------|-------|----|
| Sec | ction A. Governing Body and Management | | | | | |
| | | | | | Yes | No |
| 1a | Enter the number of voting members of the governing body at the end of the tax year | 1a | 5 | | | |
| | If there are material differences in voting rights among members of the governing body, or | | | | | |
| | if the governing body delegated broad authority to an executive committee or similar | | | | | |
| | committee, explain in Schedule O. | | | | | |
| b | Enter the number of voting members included in line 1a, above, who are independent | 1b | 3 | | | |
| 2 | Did any officer, director, trustee, or key employee have a family relationship or a business relationship with | | | | | |
| | any other officer, director, trustee, or key employee? | | | 2 | | Х |
| 3 | Did the organization delegate control over management duties customarily performed by or under the direct | | | | | |
| | supervision of officers, directors, or trustees, or key employees to a management company or other person? | | | 3 | | Х |
| 4 | Did the organization make any significant changes to its governing documents since the prior Form 990 was | filed? | | 4 | | Х |
| 5 | Did the organization become aware during the year of a significant diversion of the organization's assets? | | | 5 | | Х |
| 6 | Did the organization have members or stockholders? | | | 6 | | Х |
| 7a | Did the organization have members, stockholders, or other persons who had the power to elect or appoint | | | | | |
| | one or more members of the governing body? | | | 7a | | Х |
| b | Are any governance decisions of the organization reserved to (or subject to approval by) members, | | | | | |
| | stockholders, or persons other than the governing body? | | | 7b | | Х |
| 8 | Did the organization contemporaneously document the meetings held or written actions undertaken during the | e year | by the follow | ing: | | |
| а | The governing body? | | | 8a | Х | |
| b | Each committee with authority to act on behalf of the governing body? | | | 8b | Х | |
| 9 | Is there any officer, director, trustee, or key employee listed in Part VII, Section A, who cannot be reached at | | | | | |
| | the organization's mailing address? If "Yes," provide the names and addresses in Schedule O | | | 9 | | Х |
| Sec | etion B. Policies (This Section B requests information about policies not required by the | Inter | nal Reven | ue Co | ode.) | |
| | | | | | Yes | No |
| 10a | Did the organization have local chapters, branches, or affiliates? | | | 10a | | X |
| b | If "Yes," did the organization have written policies and procedures governing the activities of such chapters, | | | | | |
| | affiliates, and branches to ensure their operations are consistent with the organization's exempt purposes? | | | 10b | | |
| 11a | Has the organization provided a complete copy of this Form 990 to all members of its governing body before | filing t | he form? | 11a | X | |
| b | Describe in Schedule O the process, if any, used by the organization to review this Form 990. | | | | | |
| 12a | Did the organization have a written conflict of interest policy? If "No," go to line 13 | | | 12a | Х | |
| b | Were officers, directors, or trustees, and key employees required to disclose annually interests that could give | e rise | to conflicts? | 12b | Х | |
| С | Did the organization regularly and consistently monitor and enforce compliance with the policy? If "Yes," | | | | | |
| | describe in Schedule O how this was done | | | 12c | X | |
| 13 | Did the organization have a written whistleblower policy? | | | 13 | Х | |
| 14 | Did the organization have a written document retention and destruction policy? | | | 14 | Х | |
| 15 | Did the process for determining compensation of the following persons include a review and approval by | | | | | |
| | independent persons, comparability data, and contemporaneous substantiation of the deliberation and decision | on? | | | | |
| а | The organization's CEO, Executive Director, or top management official | | | 15a | Х | |
| b | Other officers or key employees of the organization | | | 15b | Х | |
| | If "Yes" to line 15a or 15b, describe the process in Schedule O (see instructions). | | | | | |
| 16a | Did the organization invest in, contribute assets to, or participate in a joint venture or similar arrangement | | | | | |
| | with a taxable entity during the year? | | | 16a | | Х |
| b | If "Yes," did the organization follow a written policy or procedure requiring the organization to evaluate its | | | | | |
| | participation in joint venture arrangements under applicable federal tax law, and take steps to safeguard the | | | | | |
| | organization's exempt status with respect to such arrangements? | | | 16b | | |
| Sec | etion C. Disclosure | | | | | |
| 17 | List the states with which a copy of this Form 990 is required to be filed ▶AZ, CA | | | | | |
| 18 | Section 6104 requires an organization to make its Forms 1023 (or 1024 if applicable), 990, and 990-T (Section | n 501 | (c)(3)s only) | | | |
| | available for public inspection. Indicate how you made these available. Check all that apply. | | | | | |
| | X Own website X Another's website X Upon request | | | | | |

- Describe in Schedule O whether (and if so, how), the organization made its governing documents, conflict of interest policy, and financial statements available to the public during the tax year.
- State the name, physical address, and telephone number of the person who possesses the books and records of the 20 organization: ► LINDA WELLS, DIRECTOR OF FINANCE PO BOX 710 TUCSON

AZ 85702-0710 520-623-5252

Form **990** (2011)

Part VII Compensation of Officers, Directors, Trustees, Key Employees, Highest Compensated Employees, and Independent Contractors

Check if Schedule O contains a response to any question in this Part VII

Section A. Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees

- **1a** Complete this table for all persons required to be listed. Report compensation for the calendar year ending with or within the organization's tax year.
- List all of the organization's **current** officers, directors, trustees (whether individuals or organizations), regardless of amount of compensation. Enter -0- in columns (D), (E), and (F) if no compensation was paid.
 - List all of the organization's current key employees, if any. See instructions for definition of "key employee."
- List the organization's five **current** highest compensated employees (other than an officer, director, trustee, or key employee) who received reportable compensation (Box 5 of Form W-2 and/or Box 7 of Form 1099-MISC) of more than \$100,000 from the organization and any related organizations.
- List all of the organization's **former** officers, key employees, and highest compensated employees who received more than \$100,000 of reportable compensation from the organization and any related organizations.
- List all of the organization's **former directors or trustees** that received, in the capacity as a former director or trustee of the organization, more than \$10,000 of reportable compensation from the organization and any related organizations. List persons in the following order: individual trustees or directors; institutional trustees; officers; key employees; highest compensated employees; and former such persons.

| Check this box if neither the org | ganization nor | any r | elate | ed or | gan | izati | ons | compensated any current | officer, director, or truste | е. |
|---|---|-------|--------|---------------|-----------------------------------|---|--------------|--|--|------------------|
| (A) Name and Title | (B) Average hours per week (describe hours for related organizations in Schedule O) | box | , unle | heck ss pe | ition more rson i irecto | than is both Highest compensated employee | n an tee) | (D) Reportable compensation from the organization (W-2/1099-MISC) | (F) Estimated amount of other compensation from the organization and related organizations | |
| (1)GALVIN, PETER J | | v | | | | | | 102 140 | 0 | 17 551 |
| (2) SILVER, ROBIN D | | X | | | | | | 102,149 89,777 | 0 | 17,551 17,115 |
| DIRECTOR (3) OLAJOS, MARCEY CHAIRPERSON | 2.00 | X | | Х | | | | 09,777 | 0 | 17,115 |
| (4) ZILL, STEPHANIE TREASURER | 2.00 | X | | Х | | | | 0 | 0 | 0 |
| (5) SPIVAK, RANDI DIRECTOR | 1.00 | X | | 21 | | | | 0 | 0 | 0 |
| (6) SUCKLING, KIERA EXEC DIRECTOR/PRES | N F 50.00 | | | Х | | | | 123,961 | 0 | 14,861 |
| (7) BERGMAN, SARAH ASST ED/SECRETARY | 50.00 | | | Х | | | | 91,531 | 0 | 14,484 |
| (8) WELLS, LINDA DIRECTOR OF FINANCE | 40.00 | | | Х | | | | 47,963 | 0 | 3,845 |
| (9) SCHULKE, TODD D | | | | | | | Х | 55,593 | 0 | 14,489 |
| (10) | | | | | | | | | | |
| (11) | | | | | | | | | | |
| (12) | | | | | | | | | | |
| (13) | | | | | | | | | | |
| (14) | | | | | | | | | | |

| Pa | rt VII Section A. Officer | s, Directors, Ti | rust | ees, | Key | ' Em | ploy | yees | s, and Highest Compens | | | | | |
|--------|---|--|-----------------------------------|-----------------------|------------------------|------------------|------------------------------|--------------|--|---|----------|------------------------------------|-------------------------------|-------|
| | (A) Name and title | (B) Average hours per week (describe hours for | off | k, unle | Pos check ess pe | erson directo | than is both or/trus | h an tee) | (D) Reportable compensation from the organization | (E) Reportable compensation from related organizations (W-2/1099-MISC) | С | Estima amour othe compens | ated nt of er sation | |
| | | related organizations in Schedule O) | Individual trustee or director | Institutional trustee | Officer | Key employee | Highest compensated employee | Former | (W-2/1099-MISC) | | | organiz and rel organiza | ation lated | |
| (15) | | | | | | | | | | | | | | |
| (16) | | | | | | | | | | | | | | |
| (17) | | | | | | | | | | | | | | |
| (18) | | | | | | | | | | | | | | |
| (19) | | | | | | | | | | | | | | |
| (20) | | | | | | | | | | | | | | |
| (21) | | | | | | | | | | | | | | |
| (22) | | | | | | | | | | | | | | |
| (23) | | | | | | | | | | | | | | |
| (24) | | | | | | | | | | | | | | |
| (25) | | | | | | | | | | | | | | |
| | Sub-total | | | | | | | | 510,974 | | | { | 32, | 345 |
| c d | Total from continuation she Total (add lines 1b and 1c) | | | | | | | > | 510,974 | | | | 32, | 345 |
| 2 | Total number of individuals (i reportable compensation from | • | | _ | to th | iose | liste | d at | pove) who received more | than \$100,000 in | | | | |
| 3 | Did the organization list any f | former officer | direc | tor i | or tri | ısta | e ke | W Ar | mployee or highest comp | ensated | | | Yes | No |
| 4 | employee on line 1a? If "Yes For any individual listed on lin | ," complete Sch | edu | le Ĵ t | for s | uch | indiv | /idua | al | | | 3 | Х | |
| • | organization and related orga | anizations great | er th | an \$ | \$150 | ,000 |)? If ' | "Yes | s," complete Schedule J fo | or such | | 4 | | Х |
| 5 | Did any person listed on line | | | | | | | | | | | | | |
| Sec | for services rendered to the contraction B. Independent Contraction | | Yes | s, co | ompi | ete | Scne | eaule | e J for such person | | | 5 | | Χ |
| 1 | Complete this table for your f compensation from the organ | ive highest com | npen | sate | d ind | depe | ende | nt co | ontractors that received m | ore than \$100,000 of | tay year | | | |
| | | (A) I business address | COII | ірсп | Jan | JII 10 | 71 1110 | Cai | | (B) otion of services | tax year | | (C) ompensa | ation |
| | | | | | | | | | | | | | ' | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | |
| 2 | Total number of independent received more than \$100,000 | • | | _ | | | | | , | 0 | | | | |
| | rosorvou more man prob,000 | o or compensati | UII II | UIII | 110 | , ya | ııı∠dl | UOII | <i>r</i> | U | | | | |

| Pa | art V | III State | ment of Rev | enue | | | | | | |
|--|--------|-------------------------------------|-----------------------|------------|----------|---------------|----------------------|--|---|---|
| | | | | | | | (A) Total revenue | (B) Related or exempt function revenue | (C) Unrelated business revenue | (D) Revenue excluded from tax under sections 512, 513, or 514 |
| Program Service Revenue Contributions, Gifts, Grants Program Service Revenue and Other Similar Amounts | 1a | Federated ca | mnaigns | 1a | | | | Tevenue | | 312, 313, 01 314 |
| ša ou | h | Membership | duco | 1b | | | | | | |
| s, (Am | C | Fundraising e | | 1c | | | | | | |
| ᇍ | q | Related organ | oizationa | 1d | | | | | | |
| s, Ei | u | Government grants | | 1e | | | | | | |
| <u>o</u> i | f | All other contribution | | 16 | | | | | | |
| e e | | | ts not included above | 1f | 6. | 617,375 | | | | |
| <u> </u> | a | Noncash contributi | ons included in lines | | | 581,983 | | | | |
| Social | 9 h | | nes 1a–1f | | | | 6,617,375 | | | |
| <u> </u> | | rotan / taa m | 100 14 11 | | | Busn. Code | 0,02,70,0 | | | |
| ver | 2a | T.FCAT. 9 | SETTLEMENT | | | Busin Gode | 503,509 | 503,509 | | |
| Re | b | | SHIP LIST RE | ידמים. | | | 37,046 | 37,046 | | |
| /ice | c | | SITY CONTRAC | | | | 8,587 | 8,587 | | |
| Sen | d | | | | | | 0,700. | 3,337 | | |
| Ē | e | | | | | | | | | |
| gra | f | | ram service re | | | 1 | | | | |
| Pro | ď | | nes 2a–2f | | | • | 549,142 | | | |
| | 3 | | come (including | | | | 017/112 | | | |
| | | | | | | | 17,160 | | | 17,160 |
| | 4 | | investment of ta | | | | _:,_=; | | | |
| | 5 | | ···· | | • | · – | | | | |
| | | | (i) Real | | | Personal | | | | |
| | 6a | Gross rents | | | . , | | | | | |
| | b | Less: rental exps. | | | | | | | | |
| | C | Rental inc. or (loss | , | | | | | | | |
| | d | | ome or (loss) | | | | | | | |
| | | Gross amount from | | | | Other | | | | |
| | | sales of assets other than inventor | 6,123 | ,683 | | 140 | | | | |
| | b | Less: cost or other | | , | | | | | | |
| | | basis & sales exps | 6,126 | ,703 | | | | | | |
| | С | Gain or (loss) | | ,020 | | 140 | | | | |
| | d | Net gain or (le | oss) | | | | -2,880 | -2,880 | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | |
| ø | | | rom fundraising ev | | | | | | | |
| Other Revenue | | (not including \$ | | | | | | | | |
| ě | | | reported on line 1 | | | | | | | |
| <u>ت</u> ح | | See Part IV, line | e 18 | а | | | | | | |
| ţþe | b | | xpenses | | | | | | | |
| 0 | | | r (loss) from fur | | g events | s > | | | | |
| | 9a | Gross income fi | rom gaming activit | ties. | | | | | | |
| | | See Part IV, line | e 19 | а | | | | | | |
| | b | | xpenses | | | | | | | |
| | С | Net income o | r (loss) from ga | ming ac | tivities | | | | | |
| | 10a | Gross sales of | of inventory, les | s | | | | | | |
| | | returns and a | llowances | а | | 2,142 | | | | |
| | b | Less: cost of | goods sold | b | | 1,467 | | | | |
| | С | Net income o | r (loss) from sa | les of in | ventory | | 675 | 675 | | |
| | | Mis | cellaneous Revenue | | | Busn. Code | | | | |
| | 11a | | | | | | | | | |
| | b | | | | | | | | | |
| | С | | | | | | | | | |
| | d | All other reve | nue | | | | | | | |
| | е | | es 11a-11d | | | • | | | | |
| | | | e. See instructi | | | | 7,181,472 | 546,937 | 0 | 17,160 |

Part IX Statement of Functional Expenses

Section 501(c)(3) and 501(c)(4) organizations must complete all columns. All other organizations must complete column (A) but are not required to complete columns (B), (C), and (D).

| Do not include amounts reported on lines 6b. | (A) Total expenses | (B) Program service | (C) Management and | (D) Fundraising |
|---|-----------------------|---------------------|--------------------|----------------------------------|
| 7b, 8b, 9b, and 10b of Part VIII. | | expenses | general expenses | expenses |
| 1 Grants and other assistance to governments and | 201 005 | 201 000 | | |
| organizations in the U.S. See Part IV, line 21 | 381,975 | 381,975 | | |
| 2 Grants and other assistance to individuals in | | | | |
| the U.S. See Part IV, line 22 | | | | |
| Grants and other assistance to governments, | | | | |
| organizations, and individuals outside the | | | | |
| U.S. See Part IV, lines 15 and 16 | | | | |
| 4 Benefits paid to or for members Compensation of current officers, directors, | | | | |
| trustees, and key employees | 455,383 | 409,851 | 34,757 | 10,775 |
| 6 Compensation not included above, to disqualified | 400,000 | 400,001 | 34,737 | 10,77 |
| persons (as defined under section 4958(f)(1)) and | | | | |
| persons described in section 4958(c)(3)(B) | 55,593 | 55,593 | | |
| 7 Other salaries and wages | 2,852,384 | 2,622,265 | 56,115 | 174,004 |
| 8 Pension plan accruals and contributions (include | 270327301 | 270227203 | 307113 | 171700. |
| section 401(k) and 403(b) employer contributions) | 186,499 | 172,707 | 5,586 | 8,206 |
| 9 Other employee benefits | 415,154 | 373,352 | 15,643 | 26,159 |
| Payroll taxes | 267,407 | 240,150 | 10,409 | 16,848 |
| 1 Fees for services (non-employees): | = • • • = • • | ===,=== | = 0 / = 00 | |
| a Management | | | | |
| b Legal | 200,703 | 179,547 | 21,156 | |
| c Accounting | 11,040 | - , - | 11,040 | |
| d Lobbying | 13,063 | 13,063 | , | |
| e Professional fundraising services. See Part IV, line 17 | 97,254 | , | | 97,254 |
| f Investment management fees | , | | | , |
| g Other | 245,828 | 159,314 | 86,514 | |
| 2 Advertising and promotion | 25,097 | 23,800 | 1,297 | |
| 3 Office expenses | 347,555 | 188,021 | 24,323 | 135,211 |
| 4 Information technology | 36,638 | 32,431 | 1,717 | 2,490 |
| 5 Royalties | | | | |
| 6 Occupancy | 217,941 | 209,903 | 1,122 | 6,916 5,482 |
| 7 Travel | 223,470 | 214,842 | 3,146 | 5,482 |
| 8 Payments of travel or entertainment expenses | | | | |
| for any federal, state, or local public officials | | | | |
| Conferences, conventions, and meetings | 33,744 | 30,314 | 1,756 | 1,674 |
| 0 Interest | | | | |
| 1 Payments to affiliates | | | | |
| 2 Depreciation, depletion, and amortization _ | 51,737 | 42,732 | 6,360 | 2,645 |
| 3 Insurance | 27,107 | 12,467 | 13,526 | 1,114 |
| Other expenses. Itemize expenses not covered | | | | |
| above. (List miscellaneous expenses in line 24e. If | | | | |
| line 24e amount exceeds 10% of line 25, column | | | | |
| (A) amount, list line 24e expenses on Schedule O.) | | | | |
| a BANK CHARGES | 71,347 | 10 (50 | 71,347 | |
| b DUES AND SUBSCRIPTIONS | 18,429 | 12,658 | 55 | 5,716 |
| c INTERNET ORGANIZING | 14,400 | 14,400 | 10 550 | |
| d REPAIRS AND MAINTENANCE | 13,816 | 1,055 | 12,752 | 4.7. |
| e All other expenses | 22,775 | 21,501 | 860 | 414 |
| 5 Total functional expenses. Add lines 1 through 24e | 6,286,339 | 5,411,941 | 379,481 | 494,917 |
| 6 Joint costs. Complete this line only if the organization reported in column (B) joint costs | | | | |
| from a combined educational campaign and | | | | |
| fundraising solicitation. Check here ►X if | 422 415 | 150 050 | C 204 | 074 001 |
| following ŠOP 98-2 (ASC 958-720) | 433,415 | 152,270 | 6,324 | 274,821 Form 990 (2017 |

Part X **Balance Sheet** (A) (B) End of year Beginning of year 1,057,162 692,157 Cash—non-interest bearing 1 Savings and temporary cash investments 8,219,722 8,231,600 2 Pledges and grants receivable, net 1,045,762 868,332 3 Accounts receivable, net 4 Receivables from current and former officers, directors, trustees, key employees, and highest compensated employees. Complete Part II of Schedule L 5 Receivables from other disqualified persons (as defined under section 4958(f)(1)), persons described in section 4958(c)(3)(B), and contributing employers and sponsoring organizations of section 501(c)(9) voluntary employees' beneficiary organizations (see instructions) Assets 20,000 Notes and loans receivable, net 7 749 Inventories for sale or use 9,076 8 Prepaid expenses and deferred charges 31,184 39,903 10a Land, buildings, and equipment: cost or other basis. Complete Part VI of Schedule D ______ 10a 546,666 477,663 b Less: accumulated depreciation 10b 92,867 69,003 10c Investments—publicly traded securities _____ 46,931 511,765 11 11 12 Investments—other securities. See Part IV, line 11 12 Investments—program-related. See Part IV, line 11 13 13 Intangible assets 14 14 Other assets. See Part IV, line 11 18,956 18,95615 15 9,999,225 10,979,900 Total assets. Add lines 1 through 15 (must equal line 34) 16 16 160,286 245,828 17 Accounts payable and accrued expenses 17 Grants payable 18 18 Deferred revenue 19 19 Tax-exempt bond liabilities 20 20 Escrow or custodial account liability. Complete Part IV of Schedule D 21 21 Payables to current and former officers, directors, trustees, key Liabilities employees, highest compensated employees, and disqualified persons. Complete Part II of Schedule L 22 Secured mortgages and notes payable to unrelated third parties 23 23 24 Unsecured notes and loans payable to unrelated third parties 24 Other liabilities (including federal income tax, payables to related third parties, and other liabilities not included on lines 17-24). Complete Part X of Schedule D Total liabilities. Add lines 17 through 25 160,286 245,828 Organizations that follow SFAS 117, check here X and complete Net Assets or Fund Balances lines 27 through 29, and lines 33 and 34. 6,012,784 6,965,108 Unrestricted net assets 27 28 Temporarily restricted net assets 3,826,155 3,768,964 28 Permanently restricted net assets Organizations that do not follow SFAS 117, check here and complete lines 30 through 34. Capital stock or trust principal, or current funds 30 30 Paid-in or capital surplus, or land, building, or equipment fund 31 31 Retained earnings, endowment, accumulated income, or other funds 32 32 9,838,939 10,734,072 Total net assets or fund balances 33 .999 10,979,900 Total liabilities and net assets/fund balances

Form **990** (2011)

| Pa | IRT AI RECONCILIATION OF NET ASSETS | | | | | |
|----|---|----------|----------|-------|------|--|
| | Check if Schedule O contains a response to any question in this Part XI | <u></u> | <u> </u> | | | $\bot \bot$ |
| 1 | Total revenue (must equal Part VIII, column (A), line 12) | 4 | 7 | ,18 | 21 2 | 172 |
| 2 | Total expenses (must equal Part IX, column (A), line 25) | 2 | | | | 339 |
| 3 | Deviance land assess Outstand Unit Office A | 2 | | | | 133 |
| 4 | Net assets or fund balances at beginning of year (must equal Part X, line 33, column (A)) | 4 | C | | | 939 |
| 5 | Other shows a in motor and transfer of transfer in Cohestula (A) | 5 | | , 0.5 | , , | 733 |
| _ | Other changes in net assets or fund balances (explain in Schedule O) | <u> </u> | | | | |
| 6 | Net assets or fund balances at end of year. Combine lines 3, 4, and 5 (must equal Part X, line 33, | | 1.0 | | 1 (| 777 |
| Da | column (B)) | 6 | <u> </u> | ,73 | 4, | <u>) / Z</u> |
| Pa | rt XII Financial Statements and Reporting | | | | | |
| | Check if Schedule O contains a response to any question in this Part XII | <u></u> | <u></u> | | | ــــــــــــــــــــــــــــــــــــــ |
| | A | | ı | | Yes | No |
| 1 | Accounting method used to prepare the Form 990: Cash X Accrual Other | | | | | |
| | If the organization changed its method of accounting from a prior year or checked "Other," explain in | | | | | |
| | Schedule O. | | | | | |
| | Were the organization's financial statements compiled or reviewed by an independent accountant? | | | 2a | | X |
| | Were the organization's financial statements audited by an independent accountant? | | | 2b | Х | |
| С | If "Yes" to line 2a or 2b, does the organization have a committee that assumes responsibility for oversight | | | | | |
| | of the audit, review, or compilation of its financial statements and selection of an independent accountant? | | | 2c | Χ | |
| | If the organization changed either its oversight process or selection process during the tax year, explain in | | | | | |
| | Schedule O. | | | | | |
| d | If "Yes" to line 2a or 2b, check a box below to indicate whether the financial statements for the year were | | | | | |
| | issued on a separate basis, consolidated basis, or both: | | | | | |
| | X Separate basis Consolidated basis Both consolidated and separate basis | | | | | |
| 3a | As a result of a federal award, was the organization required to undergo an audit or audits as set forth in | | | | | |
| | the Single Audit Act and OMB Circular A-133? | | | 3a | | X |
| b | If "Yes," did the organization undergo the required audit or audits? If the organization did not undergo the | | | | | |
| | required audit or audits, explain why in Schedule O and describe any steps taken to undergo such audits | | | 3b | | |
| | | | | Forn | 990 | (2011) |

SCHEDULE A

(Form 990 or 990-EZ)

Public Charity Status and Public Support

Complete if the organization is a section 501(c)(3) organization or a section 4947(a)(1) nonexempt charitable trust.

► Attach to Form 990 or Form 990-EZ. ► See separate instructions.

OMB No. 1545-0047 **2011**

Open to Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

CENTER FOR BIOLOGICAL DIVERSITY INC

Employer identification number 85-0420285

| Г | art | Reas | on for Public Charity | y Status (Ali organizatio | <u>ns mus</u> | t compi | ete tni | s parτ. | .) See | ınstru | action | IS. | | | | |
|------|---|--|--------------------------------|--|-------------------|------------------------|-----------|------------------------|-------------------------|----------|--------|-----------|------|-----|--|--|
| The | orga | anization is no | t a private foundation beca | use it is: (For lines 1 through 1 | I1, check | only one | box.) | | | | | | | | | |
| 1 | | A church, co | nvention of churches, or as | ssociation of churches describ | ed in sec | tion 170 | (b)(1)(A |)(i). | | | | | | | | |
| 2 | | A school des | scribed in section 170(b)(1 |)(A)(ii). (Attach Schedule E.) | | | | | | | | | | | | |
| 3 | | A hospital or | a cooperative hospital ser | vice organization described in | section | 170(b)(1) | (A)(iii). | | | | | | | | | |
| 4 | | A medical re | search organization operat | ted in conjunction with a hospi | tal descri | bed in se | ction 1 | 70(b)(1) | (A)(iii) | . Enter | the ho | spital's | name | Э, | | |
| | | city, and stat | te: | | | | | | | | | | | | | |
| 5 | | An organizat | tion operated for the benefi | t of a college or university owr | ned or ope | erated by | a gove | rnmenta | al unit d | lescribe | ed in | | | | | |
| | | section 170 | (b)(1)(A)(iv). (Complete Pa | art II.) | | | | | | | | | | | | |
| 6 | | A federal, sta | ate, or local government or | governmental unit described i | n sectio i | 170(b)(| 1)(A)(v) |)_ | | | | | | | | |
| 7 | X | An organizat | tion that normally receives | a substantial part of its suppor | t from a g | jovernme | ntal uni | t or fron | n the ge | eneral p | oublic | | | | | |
| | | described in | section 170(b)(1)(A)(vi). | (Complete Part II.) | | | | | | | | | | | | |
| 8 | | A community trust described in section 170(b)(1)(A)(vi). (Complete Part II.) An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross | | | | | | | | | | | | | | |
| 9 | An organization that normally receives: (1) more than 33 1/3% of its support from contributions, membership fees, and gross receipts from activities related to its exempt functions—subject to certain exceptions, and (2) no more than 33 1/3% of its | | | | | | | | | | | | | | | |
| | | receipts from | activities related to its exe | empt functions—subject to cert | tain exce | otions, ar | id (2) no | more t | han 33 | 1/3% (| of its | | | | | |
| | | support from | gross investment income | and unrelated business taxabl | e income | (less sec | tion 51 | 1 tax) fr | om bus | inesses | S | | | | | |
| | | acquired by | the organization after June | 30, 1975. See section 509(a) | (2). (Con | nplete Pa | rt III.) | | | | | | | | | |
| 10 | | | - | d exclusively to test for public | | - | | ı)(4). | | | | | | | | |
| 11 | | An organizat | ion organized and operate | d exclusively for the benefit of, | to perfor | m the fur | nctions o | of, or to | carry o | ut the | | | | | | |
| | _ | purposes of | one or more publicly suppo | orted organizations described i | n section | 509(a)(1 |) or sec | tion 509 | a)(2). | See se | ection | | | | | |
| | | 509(a)(3). Cl | heck the box that describes | s the type of supporting organi | zation an | d comple | te lines | 11e thr | ough 1 | 1h. | | | | | | |
| | | a Type | b Type II | c Type III–Function | nally integ | rated | d | Тур | e III–O | ther | | | | | | |
| е | | By checking | this box, I certify that the o | rganization is not controlled di | rectly or i | ndirectly | by one | or more | disqua | lified p | ersons | ; | | | | |
| | | other than fo | undation managers and ot | her than one or more publicly: | supported | d organiza | ations d | escribe | d in sec | ction 50 | 9(a)(1 |) | | | | |
| | | or section 50 | 9(a)(2). | | | | | | | | | | | | | |
| f | | If the organiz | zation received a written de | termination from the IRS that | it is a Typ | e I, Type | II, or T | ype III s | upporti | ng | | | | | | |
| | | organization | , check this box | | | | | | | | | | | . 🔲 | | |
| g | | Since Augus | t 17, 2006, has the organiz | ation accepted any gift or con | tribution f | rom any | of the | | | | | | | | | |
| | | following pe | rsons? | | | | | | | | | | | | | |
| | | (i) A person | n who directly or indirectly | controls, either alone or togeth | er with p | ersons de | escribed | l in (ii) a | nd | | | | Yes | No | | |
| | | (iii) belo | w, the governing body of th | ne supported organization? | | | | | | | | 11g(i) | | | | |
| | | (ii) A family | member of a person descri | ribed in (i) above? | | | | | | | | 11g(ii) | | | | |
| | | (iii) A 35% c | controlled entity of a persor | described in (i) or (ii) above? | | | | | | | | 11g(iii) | | | | |
| h | | Provide the | following information about | the supported organization(s) | <u>).</u> | | | | | | | | | | | |
| (i | | e of supported | (ii) EIN | (iii) Type of organization | ` ' | organization | | ou notify | | s the | | (vii) Amo | | | | |
| | org | ganization | | (described on lines 1–9 above or IRC section | 1 7 | sted in your document? | | nization in of your | organizat (i) organi | | | supp | ort | | | |
| | | | | (see instructions)) | governing | document: | | ort? | | S.? | | | | | | |
| | | | | | Yes | No | Yes | No | Yes | No | | | | | | |
| (A) | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| (B) | | | | | | | | | | | | | | | | |
| | | | | | | | | | | | | | | | | |
| (C) | | | | | | | | | | | | | | | | |
| (D) | | | | | | | | | | | | | | | | |
| (D) | | | | | | | | | | | | | | | | |
| (E) | | | | | | | | | | | | | | | | |
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For Paperwork Reduction Act Notice, see the Instructions for Form 990 or 990-EZ.

Schedule A (Form 990 or 990-EZ) 2011

Part II Support Schedule for Organizations Described in Sections 170(b)(1)(A)(iv) and 170(b)(1)(A)(vi)

(Complete only if you checked the box on line 5, 7, or 8 of Part I or if the organization failed to qualify under Part III. If the organization fails to qualify under the tests listed below, please complete Part III.)

| on A. Public Support | • | | | • | | | | | |
|---|--|--|--|--|--|--|--|--|--|
| r year (or fiscal year beginning in) | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total | | | |
| ifts, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") | 5,295,401 | 7,825,898 | 6,182,551 | 7,229,803 | 6,617,375 | 33,151,028 | | | |
| ax revenues levied for the rganization's benefit and either paid or expended on its behalf | | | | | | | | | |
| ne value of services or facilities rnished by a governmental unit to the ganization without charge | | | | | | | | | |
| ne portion of total contributions by ach person (other than a overnmental unit or publicly upported organization) included on that exceeds 2% of the amount | 5,295,401 | 7,825,898 | 6,182,551 | 7,229,803 | 6,617,375 | 33,151,028 | | | |
| | | | | | | 9,221,795 | | | |
| | | | | | | 23,929,233 | | | |
| | | | | | | | | | |
| | ` ' | | | | | (f) Total | | | |
| | 5,295,401 | 7,825,898 | 6,182,551 | 7,229,803 | 6,617,375 | 33,151,028 | | | |
| ross income from interest, dividends, ayments received on securities loans, ints, royalties and income from similar burces | 97,902 | 68,970 | 69,622 | 14,303 | 17,160 | 267,957 | | | |
| et income from unrelated business ctivities, whether or not the business regularly carried on | | | | | | | | | |
| ther income. Do not include gain or ss from the sale of capital assets explain in Part IV.) | | | | | | | | | |
| otal support. Add lines 7 through 10 | | | | | | 33,418,985 | | | |
| | | | | | | 4,447,424 | | | |
| irst five years. If the Form 990 is for th | e organization's fi | rst, second, third, | fourth, or fifth tax | year as a section | 501(c)(3) | | | | |
| | | | | | | | | | |
| | | | | | | | | | |
| ublic support percentage for 2011 (line | 6, column (f) divid | ed by line 11, col | umn (f)) | | 14 | 71.60 % | | | |
| ublic support percentage from 2010 Sc | hedule A, Part II, I | ine 14 | | | 15 | 74.34 % | | | |
| 3 1/3% support test—2011. If the orga | anization did not ch | neck the box on lir | ne 13, and line 14 | is 33 1/3% or mo | ore, check this | | | | |
| | | | | | | ▶ 🗓 | | | |
| | | | | | or more, | | | | |
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| ganization | | | | | | ▶ □ | | | |
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| | | | | - | | | | | |
| Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly | | | | | | | | | |
| upported organization | | | | | | ▶ | | | |
| rivate foundation. If the organization of | | | | | | | | | |
| | ifts, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") ax revenues levied for the granization's benefit and either paid or expended on its behalf or expended on its provided on its provided or expended on its provided on its provided on its expension of the its provided on its provide | ifts, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") ax revenues levied for the rganization's benefit and either paid or expended on its behalf the value of services or facilities mished by a governmental unit to the ganization without charge total. Add lines 1 through 3 the portion of total contributions by ach person (other than a vovernmental unit or publicly upported organization) included on the 1th at exceeds 2% of the amount hown on line 11, column (f) abilic support. Subtract line 5 from line 4 for B. Total Support for year (or fiscal year beginning in) for B. Total Support for year (or fiscal year beginning in) for B. Total Support for year (or fiscal year beginning in) for B. Total Support for year (or fiscal year beginning in) for B. Total Support for year (or fiscal year beginning in) for B. Total Support for grants, contributions by achieve the support in the form 10 support in the form 10 support percentage form 2010 Schedule A, Part II, I support support percentage form 2010 Schedule A, Part II, I support support test—2011. If the organization did not che to the support test—2011. If the organization qualifies as a publicly support bercentage form 2010 Schedule A, Part II, I support test—2010. If the organization qualifies as a publicly support test—2010. If the organization qualifies as a publicly support test—2010. If the organization qualifies as a publicly support test—2010. If the organization qualifies as a publicly support support percentage for 2011. If the organization qualifies as a publicly support support test—2010. If the organization qualifies as a publicly support supp | ifts, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") ax revenues levied for the granization's benefit and either paid or expended on its behalf the value of services or facilities mished by a governmental unit to the granization without charge otal. Add lines 1 through 3 the portion of total contributions by ach person (other than a overnmental unit or publicly apported organization) included on the 1 that exceeds 2% of the amount nown on line 11, column (f) abilic support. Subtract line 5 from line 4 that exceeds 2% of the amounts from line 4 to see in the form of the subtractive on securities loans, ints, royalties and income from similar purces triving and in Part IV.) the rincome from unrelated business regularly carried on their income. Do not include gain or six from the sale of capital assets explain in Part IV.) the rincome. Do not include gain or six from the sale of capital assets explain in Part IV.) the ross receipts from related activities, etc. (see instructions) rest five years. If the Form 990 is for the organization's first, second, third, ganization, check this box and stop here. The organization did not check the box and stop here. The organization did not check the box and stop here. The organization did not check a box on line seek this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line seek this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line leak this box and stop here. The organization did not check a box on line leak this box and stop here. The organization meets the "facts-and-circumstances" teat. It is not organization in Part IV how the organizat | ifts, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") ax revenues levied for the granization's benefit and either paid or expended on its behalf granization's benefit and either paid or expended on its behalf granization without charge granization without charge granization without charge shall dises it through 3 so person (other than a evernmental unit or publicly apported organization) included on the 1 that exceeds 2% of the amount lown on line 11, column (f) bublic support. Subtract line 5 from line 4 bit B. Total Support organization without charge states the subtraction of the subtract line 5 from line 4 bit B. Total Support organization in land organization granization of the department of the subtraction of the business regularly carried on the income. Do not include gain or sor from related business regularly carried on the income. Do not include gain or sor from related activities, whether or not the business regularly carried on the from related activities, etc. (see instructions) rest five years. If the Form granization of public Support Percentage ublic support test—2011. If the organization is a publicly supported organization of the organization did not check the box on line 13, and line 14 as and support. The organization did not check a box on line 13 or 16a, and line of the support and if the organization meets the "facts-and-circumstances" test, check this box and stop here. The organization meets the "facts-and-circumstances" test, check this box and top here. The organization meets the "facts-and-circumstances" test, check this box and top here. The organization meets the "facts-and-circumstances" test, check this box and fit he organization meets the "facts-and-circumstances" test, check this post and for more, and if the organization meets the "facts-and-circumstances" test, check this post and form or organization meets the "facts-and-circumstances" test, check this post and for more, and if the organization meets the "facts-and-circumstance | iffs, grants, contributions, and embership fees received. (Do not clude any "unusual grants.") ### April 10 | if year (or fiscal year beginning in) (a) 2007 (b) 2008 (c) 2009 (d) 2010 (e) 2011 (ifs. grants, contributions, and embership fees received, (Do not clude any "unusual grants.") 5,295,401 7,825,898 6,182,551 7,229,803 6,617,375 as revenues levied for the granization's benefit and either paid or expended on its behalf ne value of services or facilities mished by a governmental unit to the granization without charge an expensive sor facilities mished by a governmental unit to the granization without charge an expensive sor facilities mished by a governmental unit or bublicly proported organization) included on le 1 that exceeds 2% of the amount own on line 11, column (f) bublic support. Subtract line 5 from line 4 bublic support. Subtract line 5 from line 4 bublic support for granization without sorting and the form unrelated business stivities, whether or not the business received on securities loans, risk, royalities and income from similar surfaces. If the Form 990 is for the organization's first, second, third, fourth, or fifth tax year as a section 501(c)(3) granization, check this box and stop here. Support test—2011. If the organization did not check the box on line 13, 16a, or 16b, and line 14 is 31/3% support test—2010. If the organization did not check the box on line 15 is 33 1/3% or more, check this box and stop here. Explain in Part IV on white organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization on plain in Part IV how the organization meets the "facts-and-circumstances" test, check this box and stop here. Explain in Part IV how the organization meets the "facts-and-circumstances" test. The organization qualifies as a publicly supported organization organization meets the "facts-and-circumstances" test. The org | | | |

Part III Support Schedule for Organizations Described in Section 509(a)(2)

(Complete only if you checked the box on line 9 of Part I or if the organization failed to qualify under Part II. If the organization fails to qualify under the tests listed below, please complete Part II.)

| Sec | tion A. Public Support | rquality unde | i tile tests liste | ed below, piea | se complete i | art ii.j | |
|----------|--|---------------|----------------------|-----------------------|--------------------|-----------------|-----------|
| | ndar year (or fiscal year beginning in) | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
| 1 | Gifts, grants, contributions, and membership fees received. (Do not include any "unusual grants.") | (4) = 001 | (3) = 333 | (0) = 000 | (3) = 3 · 3 | (0) | (1) |
| 2 | Gross receipts from admissions, merchandise sold or services performed, or facilities furnished in any activity that is related to the organization's fax-exempt purpose | | | | | | |
| 3 | Gross receipts from activities that are not an unrelated trade or business under section 513 | | | | | | |
| 4 | Tax revenues levied for the organization's benefit and either paid to or expended on its behalf | | | | | | |
| 5 | The value of services or facilities furnished by a governmental unit to the organization without charge | | | | | | |
| 6 | Total. Add lines 1 through 5 | | | | | | |
| 7a | Amounts included on lines 1, 2, and 3 received from disqualified persons | | | | | | |
| b | Amounts included on lines 2 and 3 received from other than disqualified persons that exceed the greater of \$5,000 or 1% of the amount on line 13 for the year | | | | | | |
| С | Add lines 7a and 7b | | | | | | |
| 8 | Public support (Subtract line 7c from | | | | | | |
| | line 6.) | | | | | | |
| | etion B. Total Support Indar year (or fiscal year beginning in) | () 0007 | 4) 0000 | () 0000 | (D 0040 | () 0044 | (O T . I |
| | | (a) 2007 | (b) 2008 | (c) 2009 | (d) 2010 | (e) 2011 | (f) Total |
| 9 | Amounts from line 6 | | | | | | |
| 10a | Gross income from interest, dividends, payments received on securities loans, rents, royalties and income from similar sources | | | | | | |
| b | Unrelated business taxable income (less section 511 taxes) from businesses acquired after June 30, 1975 | | | | | | |
| С | Add lines 10a and 10b | | | | | | |
| 11 | Net income from unrelated business activities not included in line 10b, whether or not the business is regularly carried on | | | | | | |
| 12 | Other income. Do not include gain or loss from the sale of capital assets (Explain in Part IV.) | | | | | | |
| 13 | Total support. (Add lines 9, 10c, 11, | | | | | | |
| | and 12.) | | | | | | |
| 14 | First five years. If the Form 990 is for the | · · | first, second, third | , fourth, or fifth ta | x year as a sectio | n 501(c)(3) | |
| | organization, check this box and stop he | | | | | | |
| | tion C. Computation of Public S | | | . (0) | | | |
| 15 | Public support percentage for 2011 (line | | | | | | % |
| 16 | Public support percentage from 2010 Sc | | | | | 16 | % |
| | tion D. Computation of Investm | | | 12 polymon (f)) | | 47 | 0/ |
| 17 10 | Investment income percentage for 2011 | | | | | 40 | <u>%</u> |
| 18 | Investment income percentage from 201 33 1/3% support tests—2011. If the org | | | | 15 is more than 3 | | % |
| 19a | 17 is not more than 33 1/3%, check this | | | | | | . |
| b | 33 1/3% support tests—2010. If the org | | - | | | | 💆 🗀 nd |
| ., | line 18 is not more than 33 1/3%, check | | | | | | ▶ □ |
| 20 | Private foundation. If the organization of | - | _ | - | | | ····· |

| Part IV | Supplemental Information. Complete this part to provide the explanations required by Part II, line 10; Part II, line 17a or 17b; and Part III, line 12. Also complete this part for any additional information. (See instructions). |
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SCHEDULE C (Form 990 or 990-EZ)

Political Campaign and Lobbying Activities

For Organizations Exempt From Income Tax Under section 501(c) and section 527

Complete if the organization is described below.
 ► Attach to Form 990 or Form 990-EZ.
 ► See separate instructions.

2011
Open to Public Inspection

Employer identification number

Department of the Treasury Internal Revenue Service

Name of organization

If the organization answered "Yes" to Form 990, Part IV, line 3, or Form 990-EZ, Part V, line 46 (Political Campaign Activities), then

- Section 501(c)(3) organizations: Complete Parts I-A and B. Do not complete Part I-C.
- Section 501(c) (other than section 501(c)(3)) organizations: Complete Parts I-A and C below. Do not complete Part I-B.
- Section 527 organizations: Complete Part I-A only.

If the organization answered "Yes" to Form 990, Part IV, line 4, or Form 990-EZ, Part VI, line 47 (Lobbying Activities), then

- Section 501(c)(3) organizations that have filed Form 5768 (election under section 501(h)): Complete Part II-A. Do not complete Part II-B.
- Section 501(c)(3) organizations that have NOT filed Form 5768 (election under section 501(h)): Complete Part II-B. Do not complete Part II-A.

If the organization answered "Yes" to Form 990, Part IV, line 5 (Proxy Tax) or Form 990-EZ, Part V, line 35c (Proxy Tax), then

• Section 501(c)(4), (5), or (6) organizations: Complete Part III.

| | CENTER FOR BIOLOGICA | | | 85-04202 | |
|-----|---|----------------------------------|-----------------|--|--|
| Pa | rt I-A Complete if the organization is exe | mpt under section 501 | (c) or is a se | ction 527 organi | zation. |
| 1 | Provide a description of the organization's direct and ind | irect political campaign activit | ies in Part IV. | | _ |
| 2 | Political expenditures | | | ▶\$ | |
| 3 | Volunteer hours | | | | |
| | | | | | |
| Pa | rt I-B Complete if the organization is exe | | | | |
| 1 | Enter the amount of any excise tax incurred by the organ | nization under section 4955 | | ▶\$ | |
| 2 | Enter the amount of any excise tax incurred by organization | tion managers under section | 4955 | ▶\$ | |
| 3 | If the organization incurred a section 4955 tax, did it file | Form 4720 for this year? | | | Yes No |
| | | | | | Yes No |
| | If "Yes," describe in Part IV. | | | | |
| Pa | rt I-C Complete if the organization is exe | | | ection 501(c)(3). | |
| 1 | Enter the amount directly expended by the filing organization | - | | | |
| | activities | | | ▶\$ | |
| 2 | Enter the amount of the filing organization's funds contril | <u> </u> | | | |
| | 527 exempt function activities | | | > \$ | |
| 3 | Total exempt function expenditures. Add lines 1 and 2. E | | | | |
| | line 17b | | | ▶\$ | □ Voc. □ No. |
| 4 | Did the filing organization file Form 1120-POL for this ye | ear? | 07 19 | | res NO |
| 5 | Enter the names, addresses and employer identification | | | | _ |
| | organization made payments. For each organization liste the amount of political contributions received that were p | • | | | |
| | as a separate segregated fund or a political action comm | | | _ | |
| | | | • | | (e) Amount of political |
| | (a) Name | (b) Address | (c) EIN | (d) Amount paid from filing organization's | contributions received and |
| | | | | funds. If none, enter -0 | promptly and directly |
| | | | | | delivered to a separate political organization. If |
| | | | | | none, enter -0 |
| (1) | | | | | |
| (., | | | | | |
| (2) | | | | | |
| (-) | | | | | |
| (3) | | | | | |
| (-) | | | | | |
| (4) | | | | | |
| , | | | | | |
| (5) | | | | | |
| ·-/ | | | | | |
| (6) | | | | | |
| _ | | | | | |
| | | | | | |

reporting section 4911 tax for this year?

| Schedule C (Form 99 | 0 or 990-EZ) 2011 | CENTER | FUR B. | LOTOGICAL | DIVERSIII | IN(05-0420 | Z05 Page |
|---------------------|-------------------|---------------|------------|------------------|---------------------|-------------------|-------------------------|
| Part II-A | Complete if | the organiz | ation is e | xempt under | section 501(c)(3 |) and filed Form | 5768 (election under |
| | section 501 | (h)). | | | | | |
| A Check ► | if the filing | g organizatio | n belongs | to an affiliated | d group (and list i | n Part IV each af | filiated group member's |
| | name, ad | dress, EIN, e | expenses, | and share of | excess lobbying e | expenditures). | |

| Limits on Lo (The term "expenditures" | bbying Expenditures means amounts paid or incurred.) | (a) Filing organization's totals | (b) Affiliated group totals |
|---|--|----------------------------------|------------------------------------|
| 1a Total lobbying expenditures to influence | 6,361 | | |
| b Total lobbying expenditures to influence | e a legislative body (direct lobbying) | 6,702 | |
| | 1a and 1b) | | |
| d Other exempt purpose expenditures | | E 770 2E0 | |
| e Total exempt purpose expenditures (ac | | F 701 400 | |
| f Lobbying nontaxable amount. Enter the columns. | | 439,571 | |
| If the amount on line 1e, column (a) or (b) is: | The lobbying nontaxable amount is: | | |
| Not over \$500,000 | 20% of the amount on line 1e. | | |
| Over \$500,000 but not over \$1,000,000 | \$100,000 plus 15% of the excess over \$500,000. | | |
| Over \$1,000,000 but not over \$1,500,000 | \$175,000 plus 10% of the excess over \$1,000,000. | | |
| Over \$1,500,000 but not over \$17,000,000 | \$225,000 plus 5% of the excess over \$1,500,000. | | |
| Over \$17,000,000 | \$1,000,000. | | |
| g Grassroots nontaxable amount (enter 2 | 5% of line 1f) | 109,893 | |
| h Subtract line 1g from line 1a. If zero or | | | |
| i Subtract line 1f from line 1c. If zero or I | | 0 | |

4-Year Averaging Period Under Section 501(h)

(Some organizations that made a section 501(h) election do not have to complete all of the five columns below. See the instructions for lines 2a through 2f on page 4.)

| Lobbying Expenditures During 4-Year Averaging Period | | | | | | | | | | | |
|--|---------|---------|---------|---------|-----------|--|--|--|--|--|--|
| Calendar year (or fiscal year beginning in) (a) 2008 (b) 2009 (c) 2010 (d) 2011 (e) Total | | | | | | | | | | | |
| 2a Lobbying nontaxable amount | 421,128 | 404,274 | 443,297 | 439,571 | 1,708,270 | | | | | | |
| b Lobbying ceiling amount (150% of line 2a, column(e)) | | | | | 2,562,405 | | | | | | |
| c Total lobbying expenditures | 11,920 | 9,059 | 10,755 | 13,063 | 44,797 | | | | | | |
| d Grassroots nontaxable amount | 105,282 | 101,069 | 110,824 | 109,893 | 427,068 | | | | | | |
| e Grassroots ceiling amount (150% of line 2d, column (e)) | | | | | 640,602 | | | | | | |
| f Grassroots lobbying expenditures | 4,633 | 4,457 | 4,457 | 6,361 | 19,908 | | | | | | |

Schedule C (Form 990 or 990-EZ) 2011

DAA

| Part | II-B | Complete if the organization is exempt under section 501(c)(3) and has N (election under section 501(h)). | IOT fi | led | Form 5 | 5768 | | |
|---------|---------------|---|---------|-------------|----------|--------|------|------|
| | | | (a | 1) | | (b) | | |
| | | response to lines 1a through 1i below, provide in Part IV a detailed description activity. | Yes | No | | Amou | nt | |
| 1 [| During the | e year, did the filing organization attempt to influence foreign, national, state or local | | | | | | |
| I | egislatior | , including any attempt to influence public opinion on a legislative matter or | | | | | | |
| r | eferendu | m, through the use of: | | | | | | |
| | /olunteer | | | | _ | | | |
| | | or management (include compensation in expenses reported on lines 1c through 1i)? | | | _ | | | |
| | | vertisements? | | | | | | |
| | • | o members, legislators, or the public? | | | | | | |
| | | ns, or published or broadcast statements? | | | | | | |
| | | other organizations for lobbying purposes? | | | + | | | |
| _ | | tact with legislators, their staffs, government officials, or a legislative body? emonstrations, seminars, conventions, speeches, lectures, or any similar means? | | | 1 | | | |
| | Sther acti | cition 2 | | | + | | | |
| | | Lines de through di | | | | | | |
| | | tivities in line 1 cause the organization to be not described in section 501(c)(3)? | | | | | | |
| | | nter the amount of any tax incurred under section 4912 | | | | | | |
| | | nter the amount of any tax incurred by organization managers under section 4912 | | | | | | |
| | | organization incurred a section 4912 tax, did it file Form 4720 for this year? | | | | | | |
| | III-A | Complete if the organization is exempt under section 501(c)(4), section 5 501(c)(6). | 01(c) | (5), | or sect | tion | | |
| - | | 33.(3)(3). | | | | 1 | es | No |
| 1 \ | Nere sub | stantially all (90% or more) dues received nondeductible by members? | | | ſ | 1 | | |
| | | ganization make only in-house lobbying expenditures of \$2,000 or less? | | | | 2 | | |
| 3 [| Did the or | ganization agree to carry over lobbying and political expenditures from the prior year? | | <u></u> | | 3 | | |
| Part | III-B | Complete if the organization is exempt under section 501(c)(4), section 5 | | | | | | |
| | | 501(c)(6) and if either (a) BOTH Part III-A, lines 1 and 2, are answered "No answered "Yes." | o" OR | (b) | if Part | III-A, | line | 3, i |
| 1 [|) 1100 200 | essments and similar amounts from members | | 1 | T | | | |
| | | 62(e) nondeductible lobbying and political expenditures (do not include amounts of | | | | | | |
| | | expenses for which the section 527(f) tax was paid). | | | | | | |
| - | Current ye | | | 2a | | | | |
| | - | from last year | | 2b | | | | |
| c - | Γotal | | | 2c | | | | |
| 3 / | Aggregate | e amount reported in section 6033(e)(1)(A) notices of nondeductible section 162(e) dues | | 3 | | | | |
| 4 I | f notices | were sent and the amount on line 2c exceeds the amount on line 3, what portion of the | | | | | | |
| 6 | excess do | es the organization agree to carryover to the reasonable estimate of nondeductible lobbying | | | | | | |
| | - | al expenditure next year? | | 4 | | | | |
| | | mount of lobbying and political expenditures (see instructions) | | 5 | | | | |
| Part | | Supplemental Information | | | | | | |
| | | art to provide the descriptions required for Part I-A, line 1; Part I-B, line 4; Part I-C, line 5; Part II-A | ; and F | 'art II | -B, line | | | |
| 1. Also | o, comple | te this part for any additional information. | | | | | | |
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| Schedule C (Form 9 | 90 or 990-EZ) 2011 | CENTER | FOR | BIOLOGICAL | DIVERSITY | INC85-0420285 | Page 4 |
|--------------------|--------------------|--------------|--------|---|-----------|---------------|---------------|
| Part IV | Supplement | al Informati | on (co | ntinued) | | IN@5-0420285 | |
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SCHEDULE D (Form 990)

Department of the Treasury Internal Revenue Service

Supplemental Financial Statements

► Complete if the organization answered "Yes," to Form 990, Part IV, line 6, 7, 8, 9, 10, 11a, 11b, 11c, 11d, 11e, 11f, 12a, or 12b. ► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047
2011
Open to Public Inspection

Name of the organization

Employer identification number

| С | ENTER FOR BIOLOGICAL DIVERSITY INC | | 85-0420285 |
|----|--|--|---------------------------------|
| | art I Organizations Maintaining Donor Advised F | Funds or Other Similar Funds | or Accounts. Complete if the |
| | organization answered "Yes" to Form 990, Par | t IV, line 6. | • |
| | | (a) Donor advised funds | (b) Funds and other accounts |
| 1 | Total number at end of year | | |
| 2 | Aggregate contributions to (during year) | | |
| 3 | Aggregate grants from (during year) | | |
| 4 | Aggregate value at end of year | | |
| 5 | Did the organization inform all donors and donor advisors in writing | that the assets held in donor advised | |
| | funds are the organization's property, subject to the organization's e | exclusive legal control? | Yes No |
| 6 | Did the organization inform all grantees, donors, and donor advisors | s in writing that grant funds can be used | |
| | only for charitable purposes and not for the benefit of the donor or c | lonor advisor, or for any other purpose | |
| | conferring impermissible private benefit? | | Yes No |
| Pa | art II Conservation Easements. Complete if the or | | orm 990, Part IV, line 7. |
| 1 | Purpose(s) of conservation easements held by the organization (ch | | |
| | Preservation of land for public use (e.g., recreation or education | Preservation of an historically ir | nportant land area |
| | Protection of natural habitat | Preservation of a certified histo | ric structure |
| | Preservation of open space | | |
| 2 | Complete lines 2a through 2d if the organization held a qualified con | nservation contribution in the form of a c | conservation |
| | easement on the last day of the tax year. | | |
| | | | Held at the End of the Tax Year |
| а | | | |
| b | | | 2b |
| | Number of conservation easements on a certified historic structure | | 2c |
| d | Number of conservation easements included in (c) acquired after 8/ | 17/06, and not on a | |
| | | | 2d |
| 3 | Number of conservation easements modified, transferred, released | , extinguished, or terminated by the orga | anization during the |
| | tax year ▶ | | |
| 4 | Number of states where property subject to conservation easement | | |
| 5 | Does the organization have a written policy regarding the periodic n | | |
| | violations, and enforcement of the conservation easements it holds' | | |
| 6 | Staff and volunteer hours devoted to monitoring, inspecting, and en | forcing conservation easements during | the year |
| | > | | |
| 7 | Amount of expenses incurred in monitoring, inspecting, and enforcing | ng conservation easements during the y | ear |
| | ▶ \$ | | |
| 8 | Does each conservation easement reported on line 2(d) above satisfied to the conservation of the conservat | | |
| | (i) and section 170(h)(4)(B)(ii)? | | |
| 9 | In Part XIV, describe how the organization reports conservation eas | · | |
| | balance sheet, and include, if applicable, the text of the footnote to | the organization's financial statements to | nat describes the |
| D, | organization's accounting for conservation easements. Art III Organizations Maintaining Collections of A | rt Historical Treasures or Oth | oor Similar Assats |
| Г | Complete if the organization answered "Yes" to | n Form 990 Part IV line 8 | iei Siiiliai Assets. |
| 12 | If the organization elected, as permitted under SFAS 116 (ASC 958 | | and halance sheet |
| ıa | works of art, historical treasures, or other similar assets held for put | • | |
| | public service, provide, in Part XIV, the text of the footnote to its final | | |
| b | If the organization elected, as permitted under SFAS 116 (ASC 958 | | |
| ~ | works of art, historical treasures, or other similar assets held for put | | |
| | public service, provide the following amounts relating to these items | | |
| | (i) Revenues included in Form 990, Part VIII, line 1 | | > \$ |
| | | | |
| 2 | If the organization received or held works of art, historical treasures | | n, provide the |
| _ | following amounts required to be reported under SFAS 116 (ASC 9) | _ | , |
| а | Revenues included in Form 990, Part VIII, line 1 | | > \$ |
| | Assets included in Form 990, Part X | | |

| Pa | art III Organizations Maintaining | Collections | of Art, Histori | cal Treasures | , or Other S | Similar Ass | sets (c | ontin | ued) |
|---------|---|--------------------------------|------------------------|--------------------|-----------------------------|----------------|------------------|---------|----------------|
| 3 | Using the organization's acquisition, accessic collection items (check all that apply): | on, and other reco | ords, check any of | the following that | are a significar | nt use of its | | | |
| а | Public exhibition | d | Loan or exchange | programs | | | | | |
| b | Scholarly research | е | Other | | | | | | |
| С | Preservation for future generations | | | | | | | | |
| 4 | Provide a description of the organization's co | ollections and exp | lain how they furth | er the organizatio | n's exempt pur | pose in Part | | | |
| | XIV. | | | | | | | | |
| 5 | During the year, did the organization solicit o | | | | | | | | ٦ |
| D. | assets to be sold to raise funds rather than to | | | | | | | es _ | No IV |
| Pä | art IV Escrow and Custodial Arra | | | | answered Y | es to Forr | n 990, | Рап | IV, |
| 10 | line 9, or reported an amour | | | | oto not | | | | |
| ıa | | | | | | | □ v ₄ | es | No |
| h | included on Form 990, Part X? If "Yes," explain the arrangement in Part XIV | and complete the | following table: | | | | . 🗆 ' ' | .s _ | _ 140 |
| | ii res, explain the arrangement iii r art xiv | and complete the | o ronowing table. | | | | Amoun | it | |
| С | Beginning balance | | | | | 1c | | | |
| | Additions during the year | | | | | | | | |
| е | Distributions during the year | | | | | 1e | | | |
| | Ending balance | | | | | | | | |
| 2a | Did the organization include an amount on F | orm 990, Part X, | line 21? | | | | Ye | es | No |
| | If "Yes," explain the arrangement in Part XIV | | | | | | | | |
| Pa | art V Endowment Funds. Compl | | nization answe | ered "Yes" to F | orm 990, Pa | art IV, line | 10. | | |
| | | (a) Current year | (b) Prior year | (c) Two years | back (d) The | ree years back | (e) Fou | r years | back |
| | Beginning of year balance | | | | | | | | |
| b | Contributions | | | | | | | | |
| С | Net investment earnings, gains, and | | | | | | | | |
| | losses | | | | | | | | |
| | Grants or scholarships | | | | | | | | |
| е | Other expenditures for facilities and | | | | | | | | |
| f | programs | | | | | | | | |
| | Administrative expenses End of year balance | | | | | | | | |
| 2 | Provide the estimated percentage of the curr | ent vear end hala | ınce (line 1a. colur | mn (a)) held as: | | | | | |
| | Board designated or quasi-endowment | | arioc (iirio 19, coldi | m (a)) noid do. | | | | | |
| | Permanent endowment ▶ % | | | | | | | | |
| | Temporarily restricted endowment ► | % | | | | | | | |
| | The percentages in lines 2a, 2b, and 2c shou | | | | | | | | |
| 3a | Are there endowment funds not in the posses | ssion of the orgar | nization that are he | eld and administer | ed for the | | | | |
| | organization by: | | | | | | | Yes | No |
| | (i) unrelated organizations | | | | | | 3a(i) | | |
| | (ii) related organizations | | | | | | 3a(ii) | | |
| b | If "Yes" to 3a(ii), are the related organizations | s listed as require | d on Schedule R? | | | | 3b | | <u> </u> |
| 4 | Describe in Part XIV the intended uses of the | | | V I' 40 | | | | | |
| Pa | art VI Land, Buildings, and Equi | | | | | . | / N D . | | |
| | Description of property | (a) Cost or other (investment) | \ \ \ | t or other basis | (c) Accumulate depreciation | ed | (d) Book | value | |
| 4 | Lond | (mivesument) | | (other) | uepreciatiOff | | | | |
| ıa L | Land | | | | | | | | |
| ט | Buildings | | | 118,790 | 110 | ,795 | | 7 | 995 |
| | Equipment | | | 427,876 | | , 868 | 6 | | 008 |
| | Other | | | 22,,010 | 500 | , 555 | | · - / | 550 |
| | Add lines 1a through 1e (Column (d) must a | ogual Form 000 I | Part Y column (R) | lino 10(c)) | | | - | 5.0 | 003 |

| Part VII | Investments—Other Securities. See Form 9 | 990, Part X, line 12. | | - <u>9</u> |
|--------------------|--|-----------------------|-------------------------------------|----------------|
| | (a) Description of security or category (including name of security) | (b) Book value | (c) Method of Cost or end-of-yea | |
| (1) Financial | | | Cost of end-of-year | i market value |
| (1) Financial (| derivatives eld equity interests | | | |
| | eia equity interests | | | |
| (| | | | |
| (B) | | | | |
| (C) | | | | |
| (D) | | | | |
| (E) | | | | |
| (F) | | | | |
| (G) | | | | |
| (H) | | | | |
| (l) Table (0.1 | (1) () [(D) [(D) [| | | |
| Part VIII | n (b) must equal Form 990, Part X, col. (B) line 12.) Investments—Program Related. See Form | L I | | |
| Fait VIII | (a) Description of investment type | (b) Book value | (c) Method of | valuation |
| | (a) Description of investment type | (b) book value | Cost or end-of-year | |
| (1) | | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| (7) | | | | |
| (8) | | | | |
| (9) | | | | |
| (10) | n (b) must equal Form 990, Part X, col. (B) line 13.) | | | |
| Part IX | Other Assets. See Form 990, Part X, line 15 | L I | | |
| | (a) Description | | | (b) Book value |
| (1) | | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| (7) | | | | |
| (8) | | | | |
| <u>(9)</u> (10) | | | | |
| | n (b) must equal Form 990, Part X, col. (B) line 15.) | | • | |
| Part X | Other Liabilities. See Form 990, Part X, line | 25. | | |
| 1. | (a) Description of liability | (b) Book value | | |
| | income taxes | | | |
| (2) | | | | |
| (3) | | | | |
| (4) | | | | |
| (5) | | | | |
| (6) | | | | |
| <u>(7)</u> | | | | |
| (8) | | | | |
| <u>(9)</u> (10) | | + | | |
| (11) | | | | |
| | n (b) must equal Form 990, Part X, col. (B) line 25.) | | | |
| | | | | |

^{2.} FIN 48 (ASC 740) Footnote. In Part XIV, provide the text of the footnote to the organization's financial statements that reports the organization's liability for uncertain tax positions under FIN 48 (ASC 740).

| SCHE | Edule D (Form 990) 2011 CENTER FOR BIOLOGICAL DIVERS | \mathbf{r} | 1003-042020 | 5 | Page 4 |
|------|---|--------------|------------------|---------|-----------|
| Pa | Int XI Reconciliation of Change in Net Assets from Form 990 | to Audite | ed Financial Sta | ateme | ents |
| 1 | Total revenue (Form 990, Part VIII, column (A), line 12) | | | 1 | 7,181,472 |
| 2 | Total expenses (Form 990, Part IX, column (A), line 25) | | | 2 | 6,286,339 |
| 3 | Excess or (deficit) for the year. Subtract line 2 from line 1 | | | 3 | 895,133 |
| 4 | Net unrealized gains (losses) on investments | | | 4 | |
| 5 | Donated services and use of facilities | | | 5 | |
| 6 | Investment expenses | | | 6 | |
| 7 | Prior period adjustments | | | 7 | |
| 8 | Other (Describe in Part XIV.) | | 8 | | |
| 9 | Total adjustments (net). Add lines 4 through 8 | | | 9 | |
| 10 | Excess or (deficit) for the year per audited financial statements. Combine lines 3 are | | 10 | 895,133 | |
| Pa | rt XII Reconciliation of Revenue per Audited Financial State | | | Retu | |
| 1 | Total revenue, gains, and other support per audited financial statements | | | 1 | 7,217,472 |
| 2 | Amounts included on line 1 but not on Form 990, Part VIII, line 12: | | | | |
| а | Net unrealized gains on investments | 2a | | | |
| b | Donated services and use of facilities | 2b | 36,000 | | |
| С | Recoveries of prior year grants | 2c | | | |
| d | Other (Describe in Part XIV.) | 2d | | | |
| е | Add lines 2a through 2d | | | 2e | 36,000 |
| 3 | Subtract line 2e from line 1 | | | 3 | 7,181,472 |
| 4 | Amounts included on Form 990, Part VIII, line 12, but not on line 1: | | | | |
| а | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV.) | 4b | | | |
| | Add lines 4a and 4b | | | 4c | |
| | Total revenue. Add lines 3 and 4c. (This must equal Form 990, Part I, line 12.) | | | 5_ | 7,181,472 |
| | art XIII Reconciliation of Expenses per Audited Financial State | | | | |
| 1 | Total expenses and losses per audited financial statements | | | 1 | 6,322,339 |
| 2 | Amounts included on line 1 but not on Form 990, Part IX, line 25: | 1 - 1 | 26 000 | | |
| a | Donated services and use of facilities | 2a | 36,000 | | |
| | Prior year adjustments | 2b | | | |
| C | Other losses | 2c | | | |
| d | Other (Describe in Part XIV.) | | | _ | 26 000 |
| е | Add lines 2a through 2d | | | 2e | 36,000 |
| 3 | Subtract line 2e from line 1 | | | 3 | 6,286,339 |
| 4 | Amounts included on Form 990, Part IX, line 25, but not on line 1: | | | | |
| a | Investment expenses not included on Form 990, Part VIII, line 7b | 4a | | | |
| b | Other (Describe in Part XIV.) | 4b | | | |
| c | Add lines 4a and 4b Total expanses Add lines 3 and 4c (This must equal Form 990, Part Lline 18.) | | | 4c | 6 286 339 |
| 2 | Intal expenses Add lines 3 and Ac (This must equal Form 990) Part I line 18) | | | י כי | n /xn 449 |

Part XIV Supplemental Information

Complete this part to provide the descriptions required for Part II, lines 3, 5, and 9; Part III, lines 1a and 4; Part IV, lines 1b and 2b; Part V, line 4; Part X, line 2; Part XI, line 8; Part XII, lines 2d and 4b; and Part XIII, lines 2d and 4b. Also complete this part to provide any additional information.

PART X - FIN 48 FOOTNOTE

THE ORGANIZATION HAS ADOPTED FASB ASC 740. THE ORGANIZATION'S POLICY IS TO DISCLOSE OR RECOGNIZE INCOME TAX POSITIONS BASED ON MANAGEMENT'S ESTIMATE OF WHETHER IT IS REASONABLY POSSIBLE OR PROBABLE, RESPECTIVELY, THAT A LIABILITY HAS BEEN INCURRED FOR UNRECOGNIZED INCOME TAX POSITIONS. AS OF DECEMBER 31, 2011, MANAGEMENT IS NOT AWARE OF ANY UNCERTAIN TAX POSITIONS THAT ARE POTENTIALLY MATERIAL.

| Schedule D (| Form 990) 2011 | CENTER 1 | FOR BIOLO ion (continued | GICAL DI | VERSITY . | INC85-042 | 0285 | Page 5 |
|---|----------------|---------------|--------------------------|----------|-----------|-----------|------|---------------|
| Part XIV | Suppleme | ntal Informat | ion (continued |) | | | | |
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SCHEDULE G (Form 990 or 990-EZ

Supplemental Information Regarding **Fundraising or Gaming Activities**

Complete if the organization answered "Yes" to Form 990, Part IV, lines 17, 18, or 19, or if the organization entered more than \$15,000 on Form 990-EZ, line 6a.

Attach to Form 990 or Form 990-EZ.

See separate instructions.

OMB No. 1545-0047

Open To Public

Department of the Treasury Internal Revenue Service Employer identification number Name of the organization CENTER FOR BIOLOGICAL DIVERSITY INC 85-0420285 Fundraising Activities. Complete if the organization answered "Yes" to Form 990, Part IV, line 17. Part I Form 990-EZ filers are not required to complete this part. Indicate whether the organization raised funds through any of the following activities. Check all that apply. X Mail solicitations e X Solicitation of non-government grants Internet and email solicitations Solicitation of government grants Phone solicitations Special fundraising events In-person solicitations 2a Did the organization have a written or oral agreement with any individual (including officers, directors, trustees or key employees listed in Form 990, Part VII) or entity in connection with professional fundraising services? Yes b If "Yes," list the ten highest paid individuals or entities (fundraisers) pursuant to agreements under which the fundraiser is to be compensated at least \$5,000 by the organization. (iii) Did fund (v) Amount paid to (vi) Amount paid to raiser have (i) Name and address of individual (iv) Gross receipts (or retained by) (or retained by) custody or (ii) Activity or entity (fundraiser) from activity fundraiser listed in organization control of contributions col. (i) AVALON CONSULTING GROUP Yes No 1 1150 17TH STREET NW SUITE 200 WASHINGTON DC 20036 CONSULTANT Χ 622,557 78,746 543,811 SHARE GROUP 2 PO BOX 55183 15,779 Χ -494BOSTON MA 02205 MEMBERSHIP 15,285 5 9 10 637,842 543,317 Total \triangleright

List all states in which the organization is registered or licensed to solicit contributions or has been notified it is exempt from registration or licensing.

ALABAMA, ALASKA, ARIZONA, ARKANSAS, CALIFORNIA, COLORADO, CONNECTICUT, DIST OF COLUMBIA, FLORIDA, HAWAII, ILLINOIS, KANSAS, KENTUCKY, MAINE, MARYLAND, MASSACHUSETTS, MICHIGAN, MISSISSIPPI, MISSOURI, NEW HAMPSHIRE, NEW JERSEY, NEW MEXICO, NEW YORK, NORTH CAROLINA, NORTH DAKOTA, OHIO, OREGON, PENNSYLVANIA, SOUTH CAROLINA, SOUTH DAKOTA, TENNESSEE, UTAH, VIRGINIA, WASHINGTON, WEST VIRGINIA, WISCONSIN

| P | more than \$15 | Events. Complete if the or 5,000 of fundraising event | rganization answered "Yes contributions and gross in | " to Form 990, Part IV | , line 18, or reported |
|------------|--|---|--|---------------------------|--|
| | events with gr | oss receipts greater than (a) Event #1 | \$5,000. (b) Event #2 | (c) Other events | (d) Total events (add col. (a) through |
| Ф | | (event type) | (event type) | (total number) | col. (c)) |
| Revenue | | | | | |
| Ϋ́Θ | Gross receipts Less: Charitable | | | | |
| | contributions | | | | |
| | 3 Gross income (line 1 minus line 2) | | | | |
| | 4 Cash prizes | | | | |
| | 5 Noncash prizes | | | | |
| Expenses | 6 Rent/facility costs | | | | _ |
| | 7 Food and beverages . | | | | |
| Direct | 8 Entertainment | | | | |
| | 9 Other direct expenses | | | | |
| | | /. Add lines 4 through 9 in colum | nn (d) ne 10 | | () |
| P | art III Gaming. Com | plete if the organization a | nswered "Yes" to Form 99 | 0, Part IV, line 19, or r | eported more |
| 4 | than \$15,000 c | on Form 990-EZ, line 6a. | (b) Pull tabs/instant | | (d) Total gaming (add |
| Revenue | | (a) Bingo | bingo/progressive bingo | (c) Other gaming | col. (a) through col. (c)) |
| <u>~</u> | 1 Gross revenue | | | | |
| nses | 2 Cash prizes | | | | |
| Expen | 3 Noncash prizes | | | | |
| Direct Exp | 4 Rent/facility costs | | | | _ |
| | 5 Other direct expenses | | | | |
| | 6 Volunteer labor | Yes % No | Yes % No | Yes % No | |
| | 7 Direct expense summary | /. Add lines 2 through 5 in colum | ın (d) | > | () |
| | 8 Net gaming income sum | mary. Combine line 1, column d | , and line 7 | > | |
| 9 | Enter the state(s) in which th | ne organization operates gaming | activities: | | |
| а | Is the organization licensed to the infinite inf | to operate gaming activities in ea | ach of these states? | | 9a Yes No |
| | | | | | |
| | Were any of the organization If "Yes," explain: | n's gaming licenses revoked, sus | spended or terminated during the | tax year? | 10a Yes No |
| | | | | | |

| Sche | edule G (Form 990 or 990-EZ) 2011 CENTER FOR BIOLOGICAL DIVERSITY IN(85-042 | 2028 | 5 | Page 3 |
|---------|---|-----------|--------|---------|
| 11 | Does the organization operate gaming activities with nonmembers? | | Ye | s No |
| 12 | Is the organization a grantor, beneficiary or trustee of a trust or a member of a partnership or other entity | | | |
| | formed to administer charitable gaming? | | Ye | s No |
| 13 | Indicate the percentage of gaming activity operated in: | | | |
| а | The organization's facility | 13a | | % |
| b | An outside facility | 13b | | %_ |
| 14 | Enter the name and address of the person who prepares the organization's gaming/special events books and | | | |
| | records: | | | |
| | | | | |
| | Name ▶ | | | |
| | | | | |
| | Address ▶ | | | |
| 15- | Dogs the organization have a contract with a third party from whom the organization receives gaming | | | |
| ı əa | Does the organization have a contract with a third party from whom the organization receives gaming revenue? | | Ye | s No |
| h | If "Yes," enter the amount of gaming revenue received by the organization \$\Bar\$\$ and the | | | 5 NO |
| D | amount of gaming revenue retained by the third party ▶\$ | | | |
| c | If "Yes," enter name and address of the third party: | | | |
| Ū | in 100, officer frame and address of the time party. | | | |
| | Name ▶ | | | |
| | | | | |
| | Address ▶ | | | |
| | | | | |
| 16 | Gaming manager information: | | | |
| | | | | |
| | Name ▶ | | | |
| | | | | |
| | Gaming manager compensation ▶\$ | | | |
| | | | | |
| | Description of services provided ▶ | | | |
| | Director/officer Employee Independent contractor | | | |
| | bliectol/onicei Employee midependent contractor | | | |
| 17 | Mandatory distributions: | | | |
| ., а | Is the organization required under state law to make charitable distributions from the gaming proceeds to | | | |
| u | retain the state gaming license? | | Ye | s No |
| b | Enter the amount of distributions required under state law to be distributed to other exempt organizations or | | | |
| | spent in the organization's own exempt activities during the tax year ▶\$ | | | |
| Pai | rt IV Supplemental Information. Complete this part to provide the explanations required by Pa | rt I, lir | ne 2b, | <u></u> |
| | columns (iii) and (v), and Part III, lines 9, 9b, 10b, 15b, 15c, 16, and 17b, as applicable. Als | o con | nplete | this |
| | part to provide any additional information (see instructions). | | | |
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SCHEDULE I (Form 990)

Grants and Other Assistance to Organizations, Governments, and Individuals in the United States

OMB No. 1545-0047

Open to Public Inspection

Department of the Treasury Internal Revenue Service Complete if the organization answered "Yes" to Form 990, Part IV, line 21 or 22.

Attach to Form 990.

Employer identification number Name of the organization 85-0420285 CENTER FOR BIOLOGICAL DIVERSITY INC **General Information on Grants and Assistance** Part I 1 Does the organization maintain records to substantiate the amount of the grants or assistance, the grantees' eligibility for the grants or assistance, and the selection criteria used to award the grants or assistance? Describe in Part IV the organization's procedures for monitoring the use of grant funds in the United States. Grants and Other Assistance to Governments and Organizations in the United States. Complete if the organization answered "Yes" Part II to Form 990, Part IV, line 21, for any recipient that received more than \$5,000. Check this box if no one recipient received more than \$5,000. (f) Method of valuation (c) IRC (a) Name and address of organization (d) Amount of cash (e) Amount of non-(b) EIN (h) Purpose of grant 1 (q) Description of (book, FMV, appraisal section or government cash assistance or assistance non-cash assistance if applicable grant (1) THE CHAPARRAL LANDS CONSERVANCY P.O. BOX 141 PRESERVATION MOUNT LAGUNA CA 91948 27-0722038 3 378,375 FMV (3) (4) (5) (6) (7) (8) (9)

Enter total number of section 501(c)(3) and government organizations listed in the line 1 table

| Part III can be duplicated if action (a) Type of grant or assistance | (b) Number of | (c) Amount of | (d) Amount of | | , (f) Description of non-cash assistan |
|--|-------------------------|----------------------|-------------------------|---------------------------|---|
| | recipients | cash grant | non-cash assistance | FMV, appraisal, other) | |
| | | | | | |
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| , | | | | | |
| Part IV Supplemental Information. | Complete this part to p | rovide the informati | ion required in Part I, | line 2, and any other add | ditional information. |
| PART I, LINE 2 - PROCEDUI | RES FOR MONITO | RING THE USE | E OF GRANT FU | NDS | |
| GRANTEES ARE REQUIRED TO | SUBMIT AN INT | ERIM REPORT, | , USUALLY HAL | F WAY | |
| THROUGH THE GRANT PERIOD | , AND A FINAL | REPORT AT TH | HE END OF THE | GRANT | |
| PERIOD. THE REPORTS INCL | JDE A SUMMARY | OF ACCOMPLIS | SHMENTS WITH | DETAILS WHEN | |
| SPECIFICS ARE REQUIRED. | THE INTERIM RE | PORTS ARE RE | EVIEWED TO EN | SURE THE | |
| REQUIREMENTS OF THE GRAN | r are being ac | COMPLISHED A | AND TO ASSIST | THE GRANTEES | |
| IF ANY CONCERNS ARE IDEN | TIFIED. IN SOM | E CASES GRAN | NTEES PARTICI | PATE IN | |
| MONTHLY PHONE CONFERENCE: | S THAT REVIEW | THE OVERALL | PROGRESS OF | THE PROJECT. | |
| | | | | | |
| | | | | | |

SCHEDULE J (Form 990)

Compensation Information
For certain Officers, Directors, Trustees, Key Employees, and Highest
Compensated Employees

▶ Complete if the organization answered "Yes" to Form 990, Part IV, line 23.

► Attach to Form 990. ► See separate instructions.

OMB No. 1545-0047

Open to Public Inspection

Internal Revenue Service Name of the organization

Department of the Treasury

CENTER FOR BIOLOGICAL DIVERSITY INC

Questions Regarding Compensation

Employer identification number 85-0420285

| | | | Yes | No |
|----|---|----------|-----|------|
| | | | | |
| 1a | Check the appropriate box(es) if the organization provided any of the following to or for a person listed in Form | | | |
| | 990, Part VII, Section A, line 1a. Complete Part III to provide any relevant information regarding these items. | | | |
| | First-class or charter travel Housing allowance or residence for personal use | | | |
| | Travel for companions Payments for business use of personal residence | | | |
| | Tax indemnification and gross-up payments Health or social club dues or initiation fees | | | |
| | Discretionary spending account Personal services (e.g., maid, chauffeur, chef) | | | |
| | | | | |
| b | If any of the boxes on line 1a are checked, did the organization follow a written policy regarding payment | | | |
| | or reimbursement or provision of all of the expenses described above? If "No," complete Part III to | | | , |
| | oveloin | 1b | | |
| 2 | Did the organization require substantiation prior to reimbursing or allowing expenses incurred by all officers, | | | |
| | directors, trustees, and the CEO/Executive Director, regarding the items checked in line 1a? | 2 | | |
| | anostore, masteres, and and electronic entertaining and nome should an anito ran | | | |
| 3 | Indicate which, if any, of the following the filing organization uses to establish the compensation of the | | | |
| · | organization's CEO/Executive Director. Check all that apply. Do not check any boxes for methods used by a | | | |
| | related organization to establish compensation of the CEO/Executive Director. Explain in Part III. | | | |
| | Compensation committee Written employment contract | | | |
| | Independent compensation consultant Compensation survey or study | | | |
| | Form 990 of other organizations Approval by the board or compensation committee | | | |
| | Approval by the board of compensation committee | | | |
| 4 | During the year, did any person listed in Form 990, Part VII, Section A, line 1a, with respect to the filing | | | |
| 7 | organization or a related organization: | | | |
| 9 | Pennius a government are shange of control neumant? | 4a | | Х |
| | Participate in, or receive payment from, a supplemental nonqualified retirement plan? | 4b | | X |
| | Participate in, or receive payment from, an equity-based compensation arrangement? | 4c | | X |
| ٠ | If "Yes" to any of lines 4a–c, list the persons and provide the applicable amounts for each item in Part III. | 40 | | - 25 |
| | in Tes to any or lines 4a-c, list the persons and provide the applicable amounts for each term in Farthi. | | | |
| | Only section 501(c)(3) and 501(c)(4) organizations must complete lines 5–9. | | | |
| 5 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any | | | |
| - | compensation contingent on the revenues of: | | | |
| а | The organization? | 5a | | Х |
| | Any related organization? | 5b | | X |
| _ | If "Yes" to line 5a or 5b, describe in Part III. | | | |
| 6 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization pay or accrue any | | | |
| • | compensation contingent on the net earnings of: | | | |
| а | The approximation? | 6a | | Х |
| | Any related organization? | 6b | | X |
| ~ | If "Yes" to line 6a or 6b, describe in Part III. | | | |
| 7 | For persons listed in Form 990, Part VII, Section A, line 1a, did the organization provide any non-fixed | | | |
| • | payments not described in lines 5 and 6? If "Yes," describe in Part III | 7 | | Х |
| 8 | Were any amounts reported in Form 990, Part VII, paid or accrued pursuant to a contract that was subject | _ | | |
| ~ | to the initial contract exception described in Regulations section 53.4958-4(a)(3)? If "Yes," describe | | | 1 |
| | in Doub III | 8 | | Х |
| 9 | If "Yes" to line 8, did the organization also follow the rebuttable presumption procedure described in | | | -22 |
| 3 | Regulations section 53.4958-6(c)? | 9 | | 1 |
| | | | | |

For Paperwork Reduction Act Notice, see the Instructions for Form 990.

Schedule J (Form 990) 2011

Part II Officers, Directors, Trustees, Key Employees, and Highest Compensated Employees. Use duplicate copies if additional space is needed.

For each individual whose compensation must be reported in Schedule J, report compensation from the organization on row (i) and from related organizations, described in the instructions, on row (ii). Do not list any individuals that are not listed on Form 990, Part VII.

Note. The sum of columns (B)(i)–(iii) for each listed individual must equal the total amount of Form 990, Part VII, Section A, line 1a, applicable column (D) and (E) amounts for that individual.

| | | of W-2 and/or 1099-MIS | C compensation | (C) Retirement and | (D) Nontaxable | (E) Total of columns | (F) Compensation | |
|--------------------|-----------------------|-------------------------------------|----------------|-----------------------------|----------------|----------------------|---|--|
| (A) Name | (i) Base compensation | (ii) Bonus & incentive compensation | | other deferred compensation | benefits | (B)(i)–(D) | reported as deferred in prior Form 990 | |
| SCHULKE, TODD D (i | • | 0 | C | 14,489 | 0 | 70,082 | | |
| (i | <u> </u> | | | 0 | 0 | 0 | | |
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| (1 |) | | | | | | | |

| Part III Supplemental Information |
|---|
| Complete this part to provide the information, explanation, or descriptions required for Part I, lines 1a, 1b, 3, 4a, 4b, 4c, 5a, 5b, 6a, 6b, 7, and 8, and for Part II. Also complete this part for any additional information. |
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SCHEDULE L (Form 990 or 990-EZ)

Transactions With Interested Persons

Complete if the organization answered

"Yes" on Form 990, Part IV, line 25a, 25b, 26, 27, 28a, 28b, or 28c, or Form 990-EZ, Part V, line 38a or 40b.

Attach to Form 990 or Form 990-EZ.

► See separate instructions.

OMB No. 1545-0047

Open To Public Inspection

Department of the Treasury Internal Revenue Service Name of the organization

Employer identification number

| | CENTER FOR BIOL | | | | | | | -042 | <u> 4 U Z</u> | 85 | | | |
|--|--|-----------|-------------|------------|--------------------------|-------------|-------------------------|-------------|---------------|-----------------|-----------------|--------|----------|
| Part I | Excess Benefit Transactions (s | | | | | | | | 40 | O.L. | | | |
| - | Complete if the organization answered " | Yes" on F | orm | 990, Part | IV, line 25a or | 25b, or F | orm 990-EZ, P | art V, II | ne 40 | JD. | (2) | Carras | 40 dO |
| 1 | (a) Name of disqualified person | | | | | (b) Des | cription of transaction | 1 | | | (c) Yes | Correc | No |
| (1) | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | |
| (3) | | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | | |
| (1) (2) (3) (4) (5) (6) | | | | | | | | | | | <u> </u> | | |
| | | | | | | | | | | | | | |
| | he amount of tax imposed on the organizati | | | | | | | ▶ ¢ | | | | | |
| 3 Enter t | section 4958he amount of tax, if any, on line 2, above, re | eimburse | d bv t | the organi | zation | | | • • • \$ | · — | | | | |
| | | | | e e.ga | | | | . • • | | | | | |
| Part II | Loans to and/or From Intereste | ed Pers | ons | S. | | | | | | | | | |
| | Complete if the organization answered " | | | 990, Part | | Form 990 |)-EZ, Part V, lin | | | | | | |
| | (a) Name of interested person and purpose | | oan to | | c) Original cipal amount | (0 | l) Balance due | (e) In (| default? | ? (f) Ap | proved (g) Writ | | |
| | or from the prince organization? | | apai amount | | | | 1 | | committee? | | , , | | |
| | | То | From | | | | | Yes | No | Yes | No | Yes | No |
| (4) | | | | | | | | | | | | | |
| (1) | | | | | | | | | | ┼ | | | |
| (2) | | | | | | | | | | | | | |
| _(2) | | | | | | | | | | 1 | | | |
| (3) | | | | | | | | | | | | | |
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| (4) | | | | | | | | | | Ь | | | <u> </u> |
| (E) | | | | | | | | | | | | | |
| (5) | | | | | | | | | | - | | | |
| (6) | | | | | | | | | | | | | |
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| (8) | | | | | | | | | | Ь | | | <u> </u> |
| (0) | | | | | | | | | | | | | |
| (9) | | | | | | | | | | ┼ | | | |
| (10) | | | | | | | | | | | | | |
| Total | | | | | > | \$ | | | | | | | |
| Part III | Grants or Assistance Benefitir | ng Inter | este | ed Pers | | <u> </u> | | | | | | | |
| | Complete if the organization answered " | Yes" on F | orm | 990, Part | IV, line 27. | | | | | | | | |
| | (a) Name of interested person | (b |) Relat | | een interested pers | son and the | (c) Ar | mount and | d type | of assis | stance | | |
| (4) | | | | or | ganization | | | | | | | | |
| (1) | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | |
| (4) | | | | | | | | | | | | | |
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| (7) | | | | | | | | | | | | | |
| (8) | | | | | | | | | | | | | |
| (0) | | 1 | | | | | | | | | | | |

| (a) Name of interested person 1) MARCY OLAJOS 2) 3) | (b) Relationship between interested person and the | (c) Amount of transaction | (d) Description of transaction | | | |
|---|--|---------------------------|--------------------------------|-------------------|--|--|
| | organization | transaction | | of reve Yes | | |
| MARCY OLAJOS | BOARD MEMBER | 502,788 | CASH CONTRIBUTION | | | |
| | | | | | | |
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| art V Supplemental Information Complete this part to provide addition | al information for responses to qu | estions on Schedule | L (see instructions). | | | |
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SCHEDULE M (Form 990)

Noncash Contributions

Open To Public Inspection

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service

► Complete if the organizations answered "Yes" on Form 990, Part IV, lines 29 or 30.

Attach to Form 990.

Name of the organization CENTER FOR BIOLOGICAL DIVERSITY INC Employer identification number 85-0420285

| Pa | art I Types of Property | | | | | | | |
|-----|---------------------------------------|-------------------------------|---|--|--|-----|-----|----|
| | | (a) Check if applicable | (b) Number of contributions or items contributed | (c) Noncash contribution amounts reported on Form 990, Part VIII, line 1g | (d) Method of determini noncash contribution an | - | | |
| 1 | Art—Works of art | | | 1 om 500, 1 art viii, iiio 1g | | | | |
| 2 | Art—Works of art | | | | | | | |
| 3 | Art—Fractional interests | | | | | | | |
| 4 | Books and publications | | | | | | | |
| 5 | Clothing and household | | | | | | | |
| 3 | | | | | | | | |
| 6 | goods Cars and other vehicles | | | | | | | |
| 7 | Boats and planes | | | | | | | |
| 8 | Intellectual property | | | | | | | |
| 9 | Securities—Publicly traded | X | 1 | 581,983 | | | | |
| 10 | Securities—Closely held stock | | | 3017303 | | | | |
| 11 | Securities—Partnership, LLC, | | | | | | | |
| •• | or trust interests | | | | | | | |
| 12 | Securities—Miscellaneous | | | | | | | |
| 13 | Qualified conservation | | | | | | | |
| | contribution—Historic | | | | | | | |
| | structures | | | | | | | |
| 14 | Qualified conservation | | | | | | | |
| • | contribution—Other | | | | | | | |
| 15 | Real estate—Residential | | | | | | | |
| 16 | Real estate—Commercial | | | | | | | |
| 17 | Real estate—Other | | | | | | | |
| 18 | Collectibles | | | | | | | |
| 19 | Food inventory | | | | | | | |
| 20 | Drugs and medical supplies | | | | | | | |
| 21 | Taxidermy | | | | | | | |
| 22 | Historical artifacts | | | | | | | |
| 23 | Scientific specimens | | | | | | | |
| 24 | Archeological artifacts | | | | | | | |
| 25 | Other ►() | | | | | | | |
| 26 | Other ►() | | | | | | | |
| 27 | Other ►() | | | | | | | |
| 28 | Other ►(| | | | | | | |
| 29 | Number of Forms 8283 received by | y the orga | nization during the tax | year for contributions for | | | | |
| | which the organization completed I | - | | | 29 | | | |
| | | | | | · | | Yes | No |
| 30a | During the year, did the organization | on receive | by contribution any pro | perty reported in Part I, lir | nes 1–28 that | | | |
| | it must hold for at least three years | from the | date of the initial contrib | oution, and which is not re | quired to be | | | |
| | used for exempt purposes for the e | entire hold | ing period? | | | 30a | | Х |
| b | If "Yes," describe the arrangement | in Part II. | | | | | | |
| 31 | Does the organization have a gift a | | e policy that requires th | e review of any non-stand | ard | | | |
| | contributions? | • | | - | | 31 | | Х |
| 32a | Does the organization hire or use t | | | | | | | |
| | | • | · · | • | | 32a | | Х |
| b | If "Yes," describe in Part II. | | | | | | | |
| 33 | If the organization did not report ar | amount i | n column (c) for a type | of property for which colur | mn (a) is checked, | | | |
| | describe in Part II. | | · , , , , , , , , , , , , , , , , , , , | | • • | | | |

| Schedule M (Form | 990) (2011) | CENTER | FOR BI | COLOGIC | AL DIV | ERSITY | $\frac{INC85-0}{INC85}$ | 420285 | Part I, lines | Page 2 |
|------------------|-------------|------------|-------------|---------------|--------------|--------------|-------------------------|-------------|------------------------------------|---------------|
| raitii | and 33, a | and whethe | r the orgar | nization is r | reporting ir | n Part I, co | lumn (b), th | e number of | contributions, dditional inforr | , the |
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SCHEDULE 0 (Form 990 or 990-EZ)

Supplemental Information to Form 990 or 990-EZ

Open to Public Inspection

Employer identification number 85-0420285

OMB No. 1545-0047

Department of the Treasury Internal Revenue Service Name of the organization

Complete to provide information for responses to specific questions on Form 990 or 990-EZ or to provide any additional information. ▶ Attach to Form 990 or 990-EZ.

CENTER FOR BIOLOGICAL DIVERSITY INC

| FORM 990 - ORGANIZATION'S MISSION OR MOST SIGNIFICANT ACTIVITIES THE CENTER FOR BIOLOGICAL DIVERSITY WORKS TO SECURE A FUTURE FOR ALL SPECIES, GREAT AND SMALL, HOVERING ON THE BRINK OF EXTINCTION. WE DO SO THROUGH SCIENCE, LAW AND CREATIVE MEDIA, WITH A FOCUS ON PROTECTING THE LANDS, WATERS AND CLIMATE THAT SPECIES NEED TO SURVIVE. |
|--|
| FORM 990, PART III, LINE 4D - ALL OTHER ACCOMPLISHMENT THE CENTER'S OCEANS PROGRAM WORKS TO PROTECT GLOBAL MARINE BIODIVERSITY AND PREVENT THE EXTINCTION OF ANY MARINE SPECIES SUBJECT TO U.S. JURISDICTION. PRIORITIES INCLUDE SECURING ENDANGERED SPECIES ACT LISTINGS AND HABITAT DESIGNATIONS FOR IMPERILED MARINE LIFE, AND ADVOCATING FOR REFORM OF HARMFUL FISHING PRACTICES, NOISE POLLUTION, CLIMATE CHANGE, OCEAN ACIDIFICATION AND OTHER DAMAGING PRACTICES AFFECTING THE OCEANS' ENVIRONMENT. |
| THE URBAN WILDLANDS PROGRAM AIMS TO PROTECT WILDLIFE, NATURAL AREAS AND QUALITY OF LIFE AT THE URBAN INTERFACE THROUGH CONSERVATION PLANNING, LEGAL WORK, POLICY ADVOCACY AND GRASSROOTS MOBILIZATION. |
| FORM 990, PART VI, LINE 11B - ORGANIZATION'S PROCESS TO REVIEW FORM 990 AN ELECTRONIC COPY OF THE FINAL VERSION OF FORM 990 IS PROVIDED TO EACH VOTING MEMBER OF THE BOARD OF DIRECTORS BEFORE IT IS FILED WITH THE IRS. IN ADDITION, A COPY OF THE FINAL VERSION OF FORM 990 WILL BE PROVIDED TO THE AUDIT COMMITTEE OF THE BOARD OF DIRECTORS PRIOR TO FILING. THE AUDIT COMMITTEE OVERSEES THE FINANCIAL REPORTING PROCESS OF THE ORGANIZATION. |

Name of the organization

CENTER FOR BIOLOGICAL DIVERSITY INC

Employer identification number 85-0420285

FORM 990, PART VI, LINE 12C - ENFORCEMENT OF CONFLICTS POLICY

NO CONFLICTS OF INTEREST AROSE DURING THE CURRENT REPORTING YEAR. THE

ORGANIZATION HAS A STANDARD CONFLICT OF INTEREST POLICY. UNDER THE POLICY,
IN CONNECTION WITH ANY ACTUAL OR POSSIBLE CONFLICT OF INTEREST, AN

INTERESTED PERSON MUST DISCLOSE THE EXISTENCE OF THE FINANCIAL INTEREST

AND BE GIVEN THE OPPORTUNITY TO DISCLOSE ALL MATERIAL FACTS TO THE

DIRECTORS AND MEMBERS OF COMMITTEES WITH GOVERNING BOARD DELEGATED POWERS

CONSIDERING THE PROPOSED TRANSACTION OR ARRANGEMENT.

AFTER DISCLOSURE OF THE FINANCIAL INTEREST AND ALL MATERIAL FACTS, AND

AFTER ANY DISCUSSION WITH THE INTERESTED PERSON, HE/SHE SHALL LEAVE THE

GOVERNING BOARD OR COMMITTEE MEETING WHILE THE DETERMINATION OF A CONLICT

OF INTEREST IS DISCUSSED AND VOTED UPON. THE REMAINING BOARD OR COMMITTE

MEMBERS SHALL DECIDE IF A CONFLICT OF INTERES EXISTS. AS PART OF

PROCEDURES FOR ADDRESSING THE CONFLICT OF INTEREST, THE GOVERNING BOARD OR

COMMITTEE SHALL DETERMINE WHETHER THE ORGANIZATION CAN OBTAIN WITH

REASONABLE EFFORTS A MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT FROM A

PERSON OR ENTITY THAT WOULD NOT GIVE RISE TO A CONFLICT OF INTEREST. IF A

MORE ADVANTAGEOUS TRANSACTION OR ARRANGEMENT IS NOT REASONABLY POSSIBLE

UNDER CIRCUMSTANCES NOT PRODUCING A CONFLICT OF INTEREST, THE GOVERNING

BOARD OR COMMITTEE SHALL DETERMINES BY A MAJORITY VOTE OF THE DISINTERESTED

DIRECTORS WHETHER THE TRANSACTION OR ARRANGEMENT IS IN THE ORGANIZATION'S

BEST INTEREST, FOR ITS OWN BENEFIT, AND WHETHER IT IS FAIR AND REASONABLE.

FORM 990, PART VI, LINE 15A - COMPENSATION PROCESS FOR TOP OFFICIAL

FOR THE CURRENT REPORTING YEAR, PURSUANT TO ITS EXECUTIVE COMPENSATION

Schedule O (Form 990 or 990-EZ) (2011) Page 2 Employer identification number Name of the organization CENTER FOR BIOLOGICAL DIVERSITY INC 85-0420285 POLICY, THE BOARD OF DIRECTORS APPROVED THE ANNUAL COMPENSATION OF THE EXECUTIVE DIRECTOR AND ALL OTHER EMPLOYEES WHOSE COMPENSATION IS REQUIRED TO BE REPORTED ON THE IRS FORM 990, INCLUDING THAT OF OFFICERS AND KEY EMPLOYEES. COMPENSATION WAS APPROVED BY ONLY INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS, BASED ON PROPER COMPARABILITY OF COMPENSATION DATA. THE DECISION OF THE BOARD OF DIRECTORS WAS THEN CONTEMPORANEOUSLY DOCUMENTED IN THE WRITTEN MINUTES OF THE BOARD OF DIRECTORS' MEETING. FORM 990, PART VI, LINE 15B - COMPENSATION PROCESS FOR OFFICERS FOR THE CURRENT REPORTING YEAR, PURSUANT TO ITS EXECUTIVE COMPENSATION POLICY, THE BOARD OF DIRECTORS APPROVED THE ANNUAL COMPENSATION OF THE EXECUTIVE DIRECTOR AND ALL OTHER EMPLOYEES WHOSE COMPENSATION IS REQUIRED TO BE REPORTED ON THE IRS FORM 990, INCLUDING THAT OF OFFICERS AND KEY EMPLOYEES. COMPENSATION WAS APPROVED BY ONLY INDEPENDENT MEMBERS OF THE BOARD OF DIRECTORS, BASED ON PROPER COMPARABILITY OF COMPENSATION DATA. THE DECISION OF THE BOARD OF DIRECTORS WAS THEN CONTEMPORANEOUSLY DOCUMENTED IN THE WRITTEN MINUTES OF THE GOVERNING BOARD'S OR COMMITTEE'S MEETING. FORM 990, PART VI, LINE 19 - GOVERNING DOCUMENTS DISCLOSURE EXPLANATION ON OUR WEBSITE, THE ORGANIZATION MAKES AVAILABLE ITS ANNUAL REPORT CONTAINING A STATEMENT OF ACTIVITIES AND THE FORM 990. GOVERNING DOCUMENTS AND ITS CONFLICT OF INTEREST POLICY ARE AVAILABLE UPON REQUEST.

SCHEDULE R (Form 990)

Related Organizations and Unrelated Partnerships

Complete if the organization answered "Yes" to Form 990, Part IV, line 33, 34, 35, 36, or 37.

► Attach to Form 990.

Identification of Disregarded Entities (Complete if the organization answered "Yes" to Form 990, Part IV, line 33.)

Primary activity

▶ See separate instructions.

Legal domicile (state

or foreign country)

Total income

OMB No. 1545-0047

Open to Public Inspection

(f)

Direct controlling

entity

Department of the Treasury Internal Revenue Service Name of the organization

Part I

(1)

CENTER FOR BIOLOGICAL DIVERSITY INC

(a)
Name, address, and EIN of disregarded entity

Employer identification number 85-0420285

(e)

End-of-year assets

| (2) | | | | | | | |
|--|----------------------|---|----------------------------|--|-------------------------------|--------------------------------------|--------------------------------|
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| Part II Identification of Related Tax–Exempt Organizations one or more related tax-exempt organizations during the second control of | s (Complete if the | he organization | answered "Yes" | to Form 990, F | Part IV, line 34 b | ecause i | t had |
| One or more related tax-exempt organizations during to (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Exempt Code section | (e) Public charity status (if section 501(c)(3)) | (f) Direct controlling entity | Section 5 controlle Yes | g) 512(b)(13) ed entity? |
| (1) SOUTHWEST ACTION PO BOX 710 85-0422394 TUCSON AZ 85702 | INACTIVE | NM | 501C4 | (ii section sorte)(s)) | N/A | res | X |
| (2) | INACIIVE | 19191 | 30164 | | N/A | | Λ |
| (3) | | | | | | | |
| (4) | | | | | | | |
| (5) | | | | | | | |
| For Paperwork Reduction Act Notice, see the Instructions for Form 990. | | | | | Schedi | ıle R (Form | n 990) 201 |

| Part III | dentification of Related Organiza because it had one or more related | tions Taxab organization | ole as | a Partnershi ated as a partr | p (Complete nership durin | if the | e organizatio tax year.) | on ans | wered "Y | es" | to F | orm 990, Part I | V, I | ine | 34 |
|----------|---|--------------------------------|--|---|--|---------------|--|------------------|----------------------------------|------------------------|-----------------------------|---|-----------------------|-------------------------------|--------------------------------|
| | (a) Name, address, and EIN of related organization | (b) Primary activity | (c) Legal domicile (state or foreign country) | (d) Direct controlling entity | (e) Predominant income (related, unrelated, excluded from tax under sections | | (f) Share of total income | Share | (g) e of end-of- ar assets | Dis portion allo | h) pro- onate oc.? | (i) Code V—UBI amount in box 20 of Schedule K-1 (Form 1065) | Gene mana parti | i) ral or aging ner? | (k) Percentage ownership |
| (4) | | | ,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,,, | | 512-514) | | | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | | | |
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| (3) | | | | | | | | | | | | | | | |
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| (4) | | | | | | | | | | | | | | | |
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| Part IV | dentification of Related Organiza ne 34 because it had one or more | tions Taxab | l ole as nizati | a Corporation ons treated as | on or Trust (6 a corporation | Comp on or | olete if the c | rganiz the ta | ation ans | wer | ed | "Yes" to Form 9 | 90, | Pa | rt IV, |
| | (a) | (b) | | (c) | (d) | | (e) | | (f) | | | (g) | | | (h) |
| Name | e, address, and EIN of related organization | ion Primary activity | | Legal domicile (state or foreign country) | Direct controlling entity | | Type of entity (C corp, S corp or trust) | | | | | Share of end-of-year assets | | Percentage ownership | |
| (1) | | | | | | | | | | | | | | | |
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| (2) | | | | | | | | | | | | | | | |
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| (3) | | | | | | | | | | | | | | | |
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| (4) | | | | | | | | | | | | | | | |
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Part V Transactions With Related Organizations (Complete if the organization answered "Yes" to Form 990, Part IV, line 34, 35, 35a, or 36.)

| No | te. Complete line 1 if any entity is listed in Parts II, III, or IV of this schedule. | | | | | Yes | No | |
|--|--|-------------|-----------------|----------------------|----------|-----|----|--|
| | During the tax year, did the organization engage in any of the following transactions with one or more | | | | | | | |
| a Receipt of (i) interest (ii) annuities (iii) royalties or (iv) rent from a controlled entity | | | | | | | | |
| b | Gift, grant, or capital contribution to related organization(s) | | | | 1b | | X | |
| С | Gift, grant, or capital contribution from related organization(s) | | | | 1c | | X | |
| d | Loans or loan guarantees to or for related organization(s) | | | | 1d | | X | |
| е | Loans or loan guarantees by related organization(s) | | | | 1e | | X | |
| | | | | | | | | |
| f | Sale of assets to related organization(s) | | | | 1f | | Х | |
| g | Purchase of assets from related organization(s) | | | | 1g | | Х | |
| h | Exchange of assets with related organization(s) | | | | 1h | | Х | |
| i | Lease of facilities, equipment, or other assets to related organization(s) | | | | 1i | | Х | |
| | (7, | | | | | | | |
| i | Lease of facilities, equipment, or other assets from related organization(s) | | | | 1j | | Х | |
| , k | Performance of services or membership or fundraising solicitations for related organization(s) | | | | 1k | | Х | |
| ī | Performance of services or membership or fundraising solicitations by related organization(s) | | | | 11 | | Х | |
| m | Sharing of facilities, equipment, mailing lists, or other assets with related organization(s) | | | | 1m | | Х | |
| n | Sharing of paid employees with related organization(s) | | | | 1n | | X | |
| • | Chaining of paid employees man related organization(o) | | | | | | | |
| _ | Reimbursement paid to related organization(s) for expenses | | | | 10 | | Х | |
| n | Reimbursement paid by related organization(s) for expenses | | | | 1p | | X | |
| Р | Reimbursement paid by related organization(s) for expenses | | | | ıρ | | 21 | |
| ~ | Other transfer of each or property to related erganization(s) | | | | 10 | | X | |
| 4 | Other transfer of cash or property from related organization(s) | | | | 1q 1r | | X | |
| | Other transfer of cash or property from related organization(s) If the answer to any of the above is "Yes," see the instructions for information on who must complete | | | | - 11 | | 21 | |
| | (a) | (b) | (c) | (d) | | | | |
| | (a) Name of other organization | Transaction | Amount involved | Method of determinir | a | | | |
| | | type (a-r) | | amount involved | 3 | | | |
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| (1) | | | | | | | | |
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| (2) | | | | | | | | |
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| (5) | | | | | | | | |
| (5) | | | | | | | | |
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| (6) | | | | | | | | |

Part VI Unrelated Organizations Taxable as a Partnership (Complete if the organization answered "Yes" to Form 990, Part IV, line 37.)

Provide the following information for each entity taxed as a partnership through which the organization conducted more than five percent of its activities (measured by total assets or gross revenue) that was not a related organization. See instructions regarding exclusion for certain investment partnerships.

| (a) Name, address, and EIN of entity | dress, and EIN of entity Primary activity Legal domicile income (related, state or foreign from tax under orga | | Are all sec | (e) (f) all partners Share of section total income 01(c)(3) anizations? | | (g) Share of end-of-year assets | (h) Disproportion allocations | | tionate Code V—UBI | |) ral or ging ner? | (k) Percentage ownership | |
|--------------------------------------|--|----------|------------------|---|----|--|-------------------------------------|-----|--------------------|--|-----------------------------|--------------------------------|---|
| | | country) | section 512-514) | Yes | No | | | Yes | No | | Yes | No | |
| (1) | | | | | | | | | | | | | |
| (2) | | | | | | | | | | | | | |
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| (3) | | | | | | | | | | | | | |
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